

# Rare Decays and Exotic States at *BABAR*

**Steven Robertson**

Institute of Particle Physics & McGill University  
for the *BABAR* Collaboration

Les Rencontres de Physique de la Vallée d'Aoste  
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**McGill**



# Outline

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- *BABAR* overview
- $B^0 \rightarrow l^+ l^-$  (and  $D^0 \rightarrow l^+ l^-$ )
- $B \rightarrow (\rho, \omega) \gamma$
- $B^+ \rightarrow K^+ \nu \bar{\nu}$  and  $B^+ \rightarrow \pi^+ \nu \bar{\nu}$
- Lepton flavour violation:  $\tau^+ \rightarrow \mu^+ \gamma$
- Pentaquark searches:  $\Theta(1540)^+$  and  $\Xi_5(1860)$
- Summary

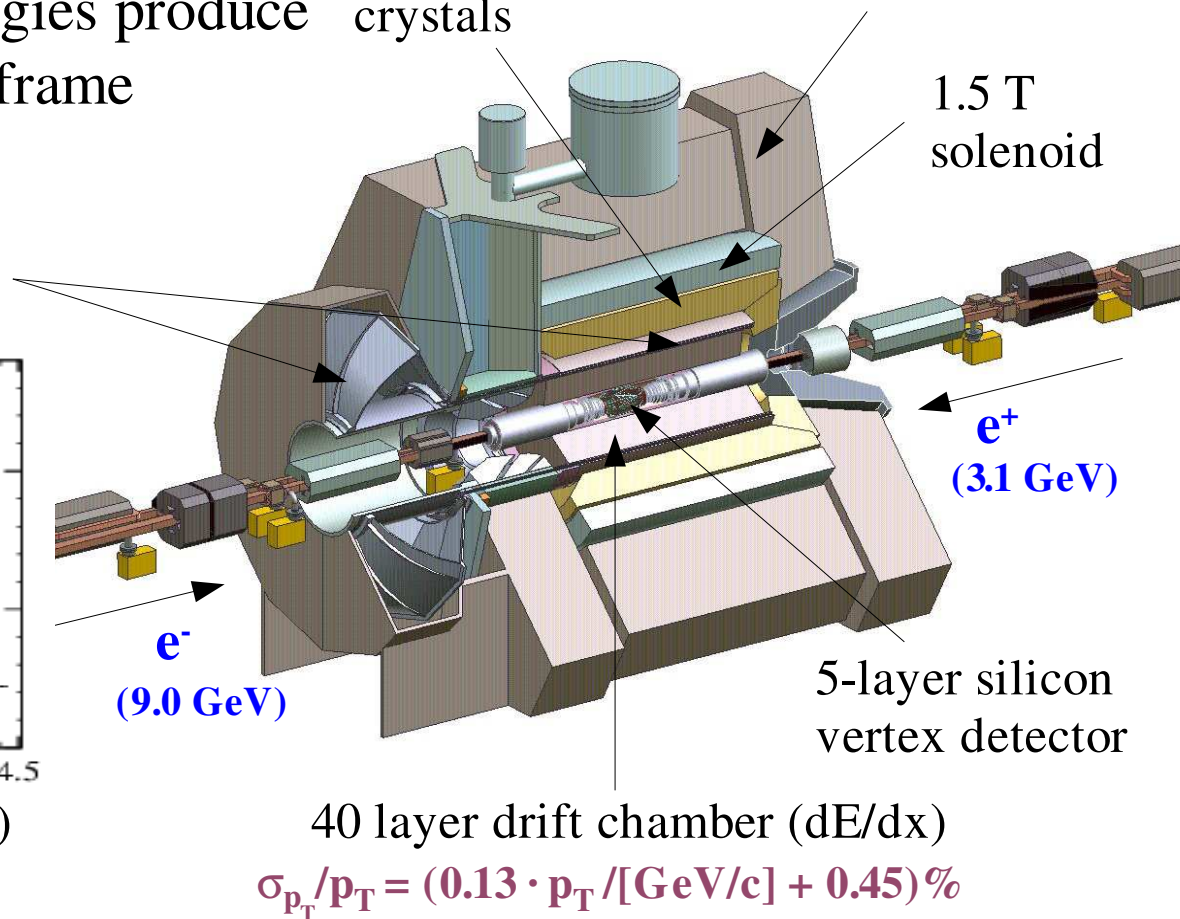
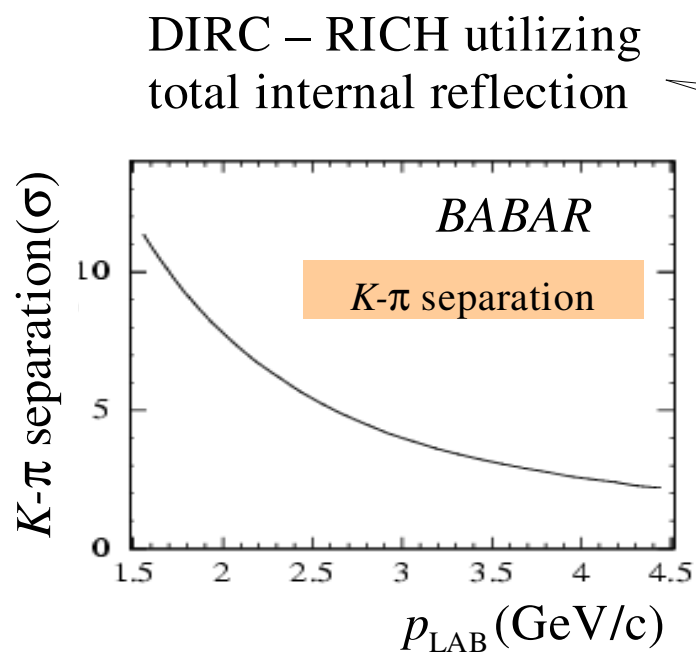
All results are preliminary unless otherwise specified

# The *BABAR* experiment

- Operating at centre of mass energy of 10.58 GeV for  $Y(4S) \rightarrow BB$
- Asymmetric beam energies produce boost of  $\beta=0.56$  in lab frame

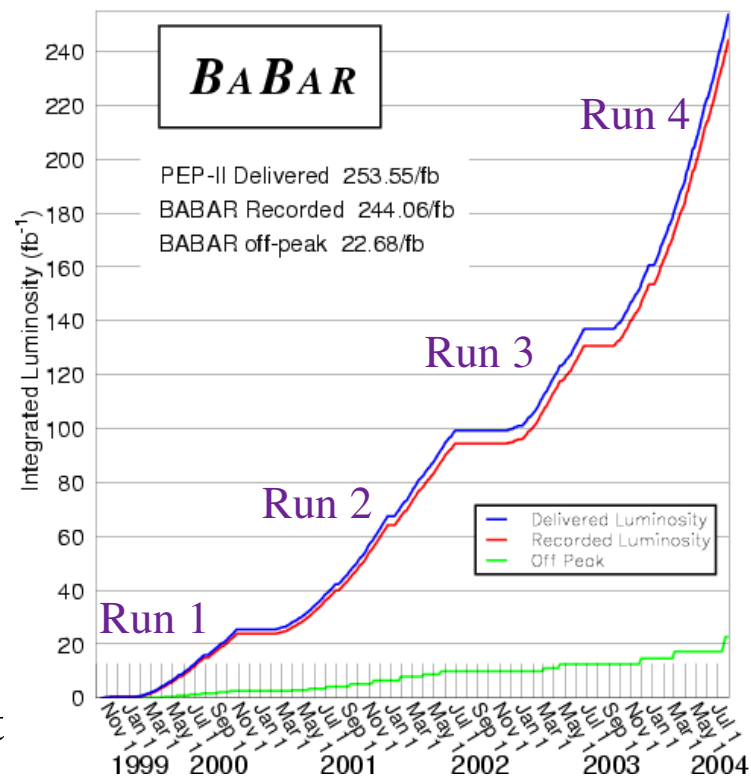
EM calorimeter  
6580 CsI(Tl)  
crystals

Magnet flux return  
instrumented with  
RPCs ( $\rightarrow$  LSTs)



# BABAR performance

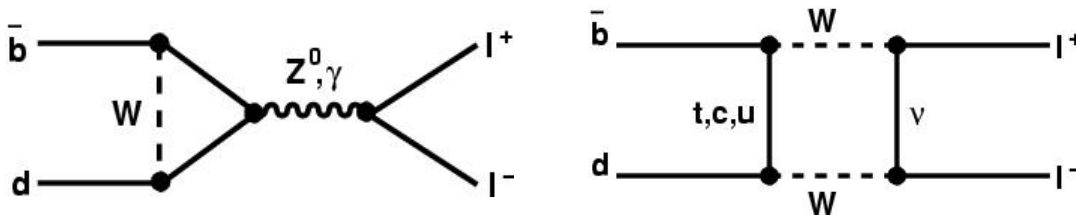
- 244 fb<sup>-1</sup> of data since startup in 1999
  - ~**245 million BB pairs** plus an additional ~23 fb<sup>-1</sup> of off-resonance data
- Two sextants of upgraded muon system (LST based) installed during summer 2004
- Anticipate startup in mid-March
  - “Run 5” startup originally scheduled for Oct 2004 delayed by an electrical accident and subsequent investigation
  - run extended until **summer 2006**, with target luminosity of **500fb<sup>-1</sup>**
  - remainder of muon system upgrade deferred until 2006 shutdown



Process	effective $\sigma$ (nb)
bb	1.1
cc	1.3
other qq	~2.1
$\tau\tau$	0.89

# Rare decays: $B^0 \rightarrow l^+ l^-$

- Theoretically very clean: within SM only non-vanishing operator is  $O_{10}$  (axial-current) and hadronic matrix element is  $f_B$



## SM predictions

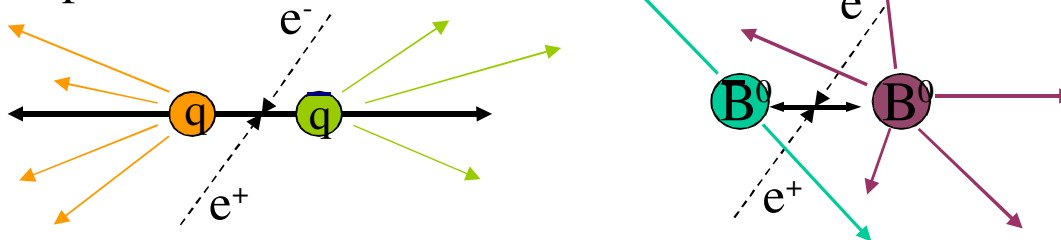
$$\text{Br}(B^0 \rightarrow e^+e^-) \approx 10^{-15}$$

$$\text{Br}(B^0 \rightarrow \mu^+\mu^-) \approx 10^{-10}$$

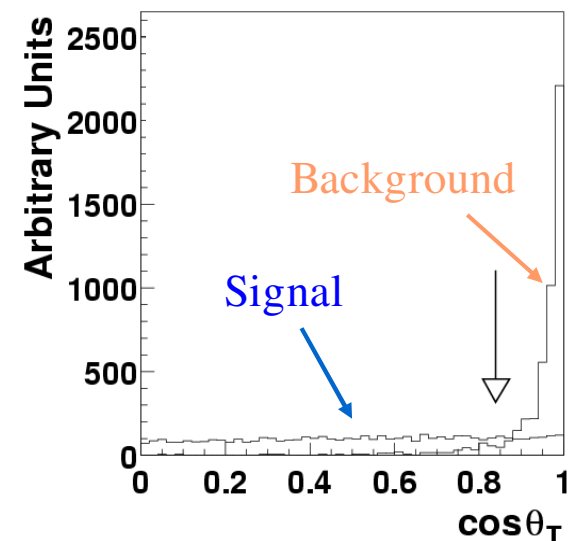
$$\text{Br}(B^0 \rightarrow \tau^+\tau^-) \approx 10^{-8}$$

- New Physics can enhance rate by factor  $\sim 100$  or more
- Experimentally very straightforward:

- Distinguish B decays from continuum using “event shape” variables

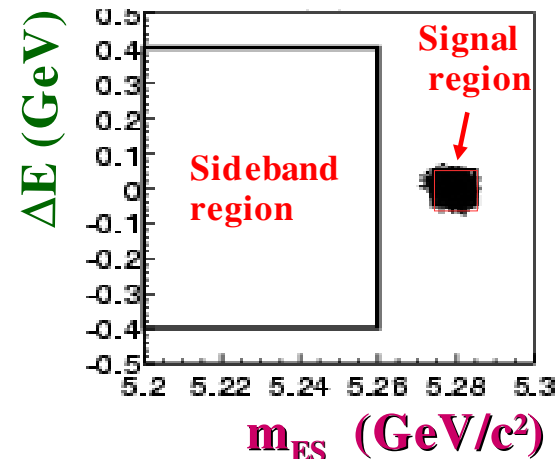
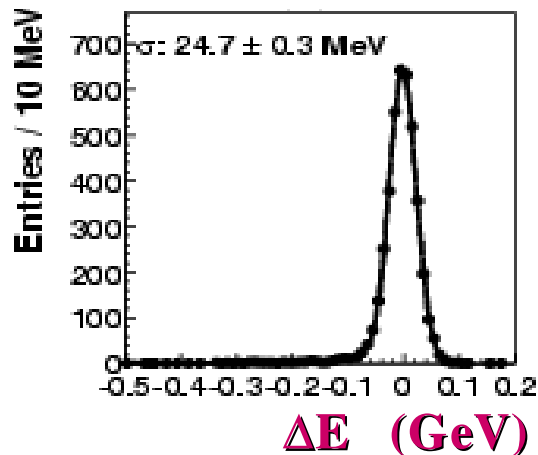
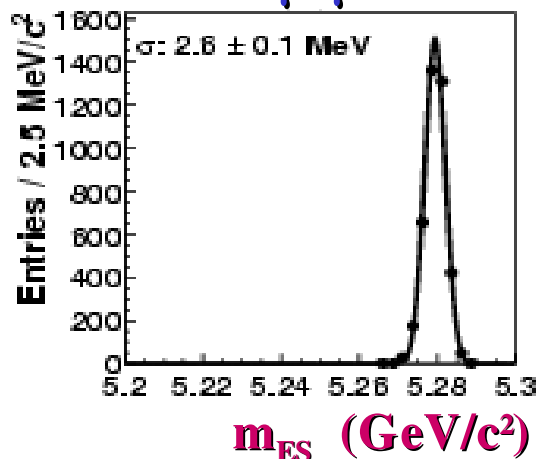


Then constrain kinematics of identified leptons to be consistent with a B meson parent...



# $B^0 \rightarrow l^+ l^-$

## $B^0 \rightarrow \mu^+ \mu^-$ simulation



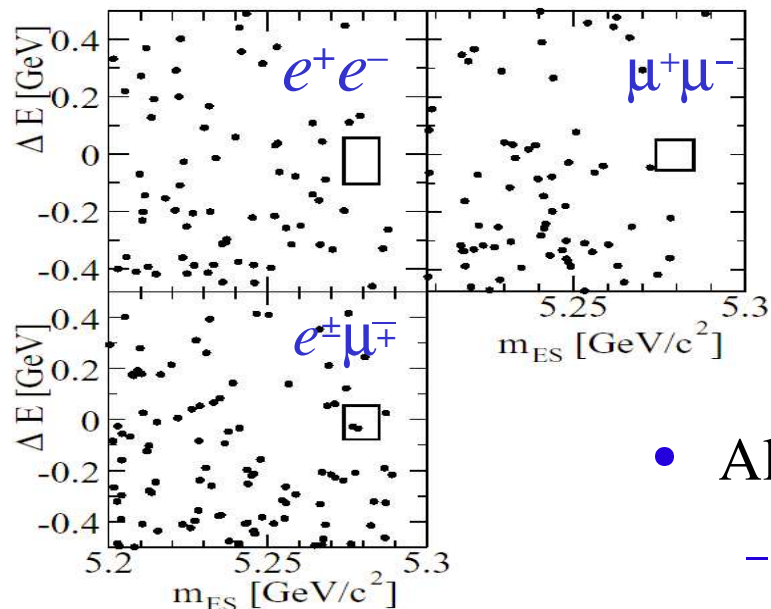
- Signal efficiencies obtained from MC
  - efficiency systematics of 5.7%, 7.1% and 6.8% (for  $ee$ ,  $\mu\mu$ ,  $e\mu$ ) due to  $m_{ES}$  and  $\Delta E$  shapes, tracking and PID
- Backgrounds estimated by extrapolating sideband regions in data into signal region
- Limits obtained from unblinded signal yields in data using modified frequentist method

$$m_{ES} \equiv \sqrt{E_{\text{beam}}^{*2} - p_B^{*2}}$$

$$\Delta E^* \equiv E_B^* - E_{\text{beam}}^*$$

channel	$N_{\text{obs}}$	$N_{\text{exp}}^{\text{bg}}$	$\epsilon$ [%]
$B^0 \rightarrow e^+ e^-$	0	$0.71 \pm 0.31$	$21.8 \pm 1.2$
$B^0 \rightarrow \mu^+ \mu^-$	0	$0.72 \pm 0.26$	$15.9 \pm 1.1$
$B^0 \rightarrow e^\pm \mu^\mp$	2	$1.29 \pm 0.44$	$18.1 \pm 1.2$

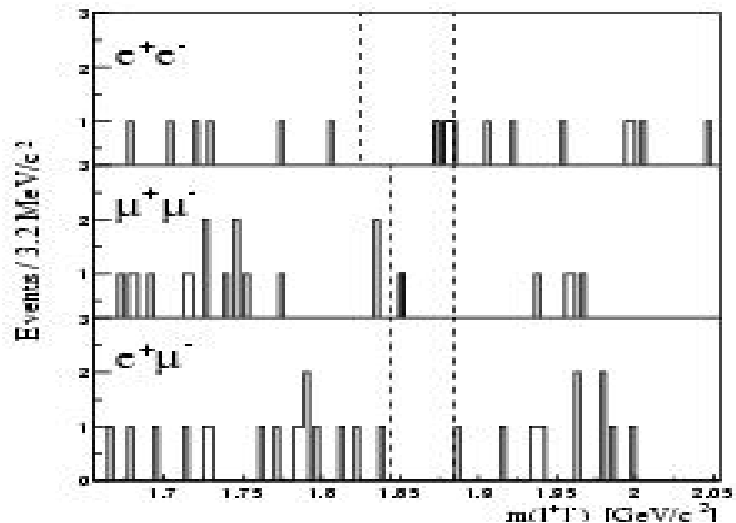
# $B^0 \rightarrow l^+ l^-$ and $D^0 \rightarrow l^+ l^-$



**Based on 111 fb<sup>-1</sup> :**  
 $B(B^0 \rightarrow e^+e^-) < 6.1 \times 10^{-8}$  at 90% C.L.  
 $B(B^0 \rightarrow \mu^+\mu^-) < 8.3 \times 10^{-8}$  hep-ex/0408096  
 $B(B^0 \rightarrow e^\pm\mu^\mp) < 18 \times 10^{-8}$  (submitted to PRL)

**BABAR Preliminary!**

- Also published limits on  $D^0 \rightarrow l^+ l^-$ 
  - sensitive to NP couplings to up-type quarks
  - Veto  $D^0$  produced in B decays  $P_D > 2.4 \text{ GeV}/c$  and require  $D^{*+} \rightarrow D^0\pi^+$  to reduce combinatorial backgrounds

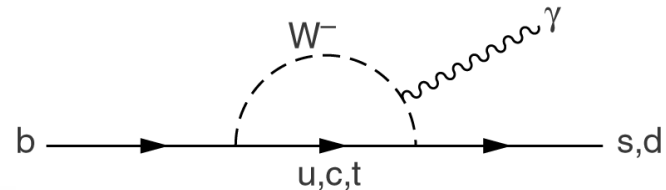


**Based on 122 fb<sup>-1</sup> (incl. offpeak)**  
 $B(D^0 \rightarrow e^+e^-) < 1.2 \times 10^{-6}$  at 90% C.L.  
 $B(D^0 \rightarrow \mu^+\mu^-) < 1.3 \times 10^{-6}$  PRL 93:191801, 2004  
 $B(D^0 \rightarrow e^\pm\mu^\mp) < 0.81 \times 10^{-6}$  (hep-ex/0408023)

# $B \rightarrow \rho(770) \gamma$ and $B \rightarrow \omega(782) \gamma$

- FCNC processes proceed via EM penguin processes in SM with top quark in the loop

- Rates related by spectator quark model



$$\bar{B}[B \rightarrow (\rho, \omega) \gamma] \equiv \frac{1}{2} \left\{ \mathcal{B}(B^+ \rightarrow \rho^+ \gamma) + \frac{\tau_{B^+}}{\tau_{B^0}} [\mathcal{B}(B_d^0 \rightarrow \rho^0 \gamma) + \mathcal{B}(B_d^0 \rightarrow \omega \gamma)] \right\}$$

- SM  $\mathcal{B}[B \rightarrow (\rho, \omega) \gamma] \sim (0.9 - 1.8) \times 10^{-6}$  but also possible New Physics contributions
- Analysis on full dataset searches for modes  $B^+ \rightarrow \rho^+ \gamma$ ,  $B^0 \rightarrow \rho^0 \gamma$  and  $B^0 \rightarrow \omega \gamma$ 
  - select  $\rho^0 \rightarrow \pi^+ \pi^-$ ,  $\rho^+ \rightarrow \pi^+ \pi^0$ ,  $\omega \rightarrow \pi^+ \pi^- \pi^0$  candidates from combinations of pions with  $630 < m(\pi\pi) < 940 \text{ MeV}/c^2$  and  $764 < m(\pi^+ \pi^- \pi^0) < 795 \text{ MeV}/c^2$
  - background primarily from  $ee \rightarrow qq$  with energetic photon from ISR or  $\pi^0/\eta$  decays, or from other radiative B decays
  - photons candidates required to survive a  $\pi^0(\eta)$  mass window “veto” in which they are combined with other photons with  $E > 30(250) \text{ MeV}$



# $B \rightarrow (\rho, \omega) \gamma$ results

- Additional bg rejection using neural net based on ROE ( $R_2, \cos \theta_{\gamma-T}^*$ , moments etc.) and Fisher based on signal ( $\theta_B^*$ ,  $\theta_H$  etc.)
- Yield extracted from 4-dimensional extended maximum likelihood fit:

Based on  $191 \text{ fb}^{-1}$  :

$$B(B^+ \rightarrow \rho^+ \gamma) < 1.8 \times 10^{-6}$$

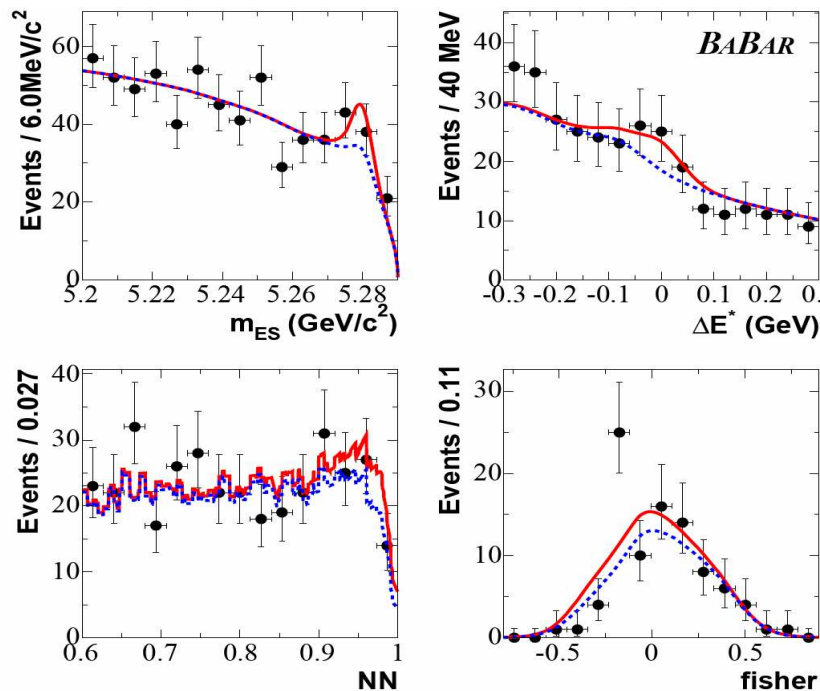
$$B(B^0 \rightarrow \rho^0 \gamma) < 0.4 \times 10^{-6}$$

$$B(B^0 \rightarrow \omega \gamma) < 1.0 \times 10^{-6}$$

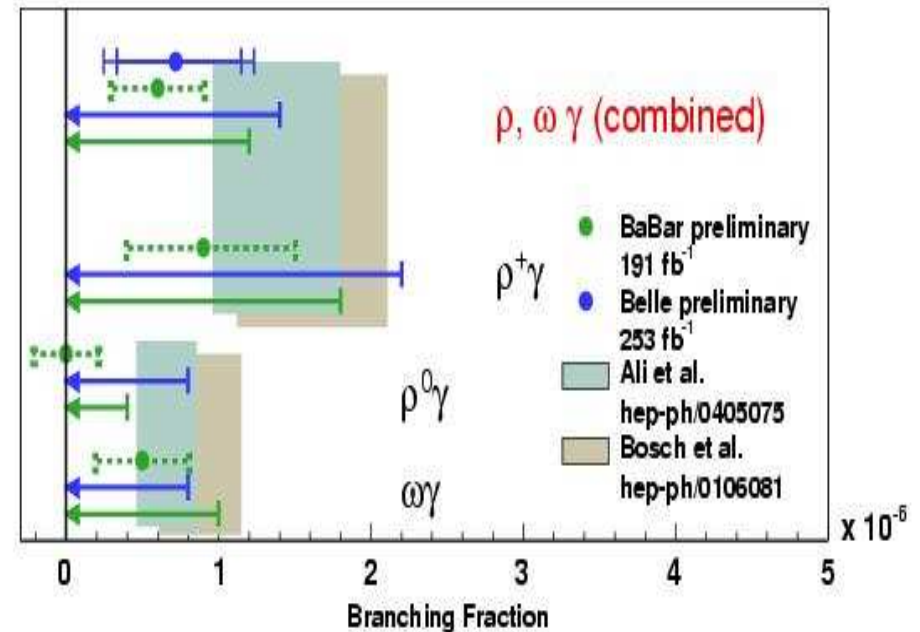
$$B[B \rightarrow (\rho, \omega) \gamma] < 1.2 \times 10^{-6}$$

at 90% C.L

B. Aubert et al., PRL 94,011801 (2005)



Combined  $(\rho, \omega) \gamma$  fit



# Unitarity triangle constraints

- Ratio of  $\mathbf{b} \rightarrow \mathbf{d}\gamma$  to  $\mathbf{b} \rightarrow \mathbf{s}\gamma$  modes constrains ratio of the CKM elements  $|V_{td}|/|V_{ts}|$ :

$$\frac{\overline{\mathcal{B}}[B \rightarrow (\rho/\omega)\gamma]}{\mathcal{B}(B \rightarrow K^*\gamma)} = \left| \frac{V_{td}}{V_{ts}} \right|^2 \left( \frac{1 - m_\rho^2/M_B^2}{1 - m_{K^*}^2/M_B^2} \right)^3 \zeta^2 [1 + \Delta R]$$

Ali et al. hep-ph/0405075

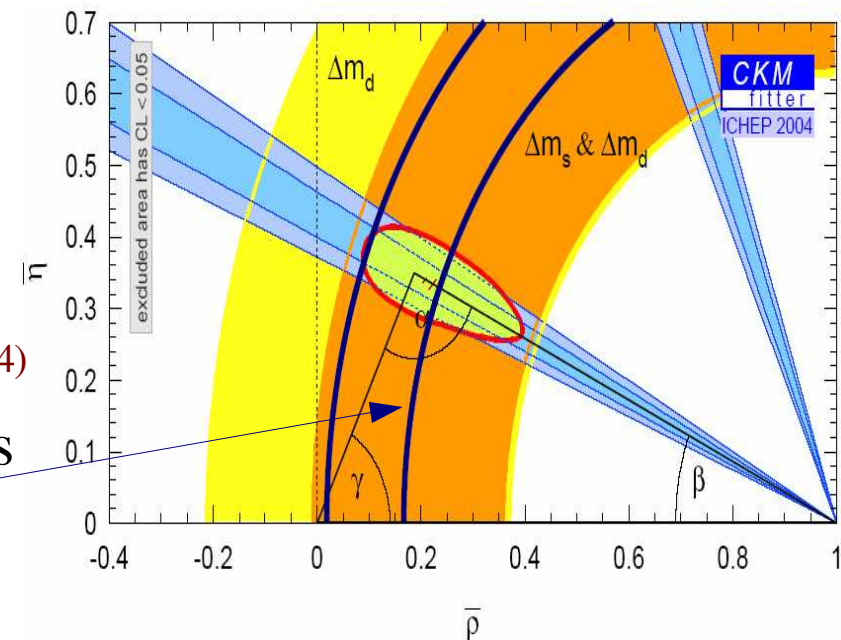
flavour SU(3) breaking  
 $\zeta = 0.85 \pm 0.10$

weak annihilation  
correction  $\Delta R = 0.1 \pm 0.1$

- Using  $\mathbf{B}[B \rightarrow (\rho,\omega)\gamma]$  and BABAR measurement of  $\mathbf{B} \rightarrow \mathbf{K}^*\gamma$ , obtain limit of  $<0.029$  (90% C.L.) on the ratio of branching fractions

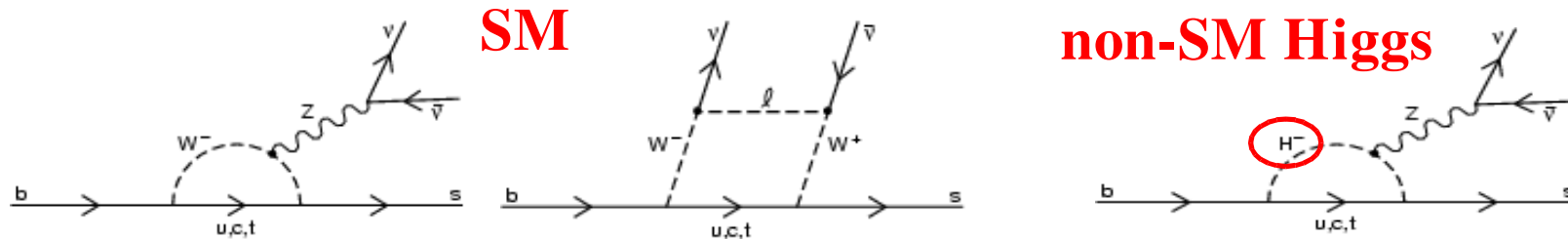
B. Aubert et al., PRD 70, 112006 (2004)

- Neglecting theoretical uncertainties yields  $|V_{td}|/|V_{ts}| < 0.19$



# $B^+ \rightarrow K^+ \nu \bar{\nu}$ (and $\pi^+ \nu \bar{\nu}$ )

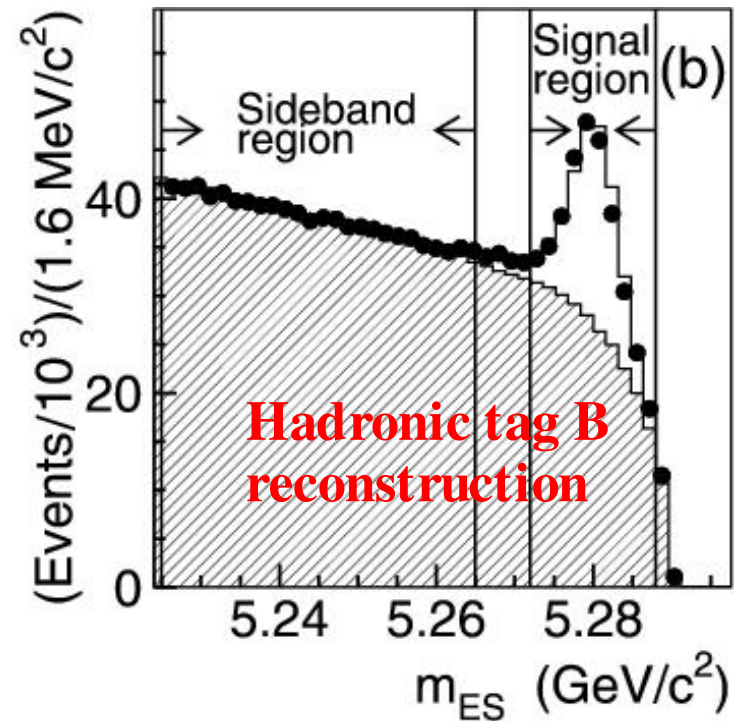
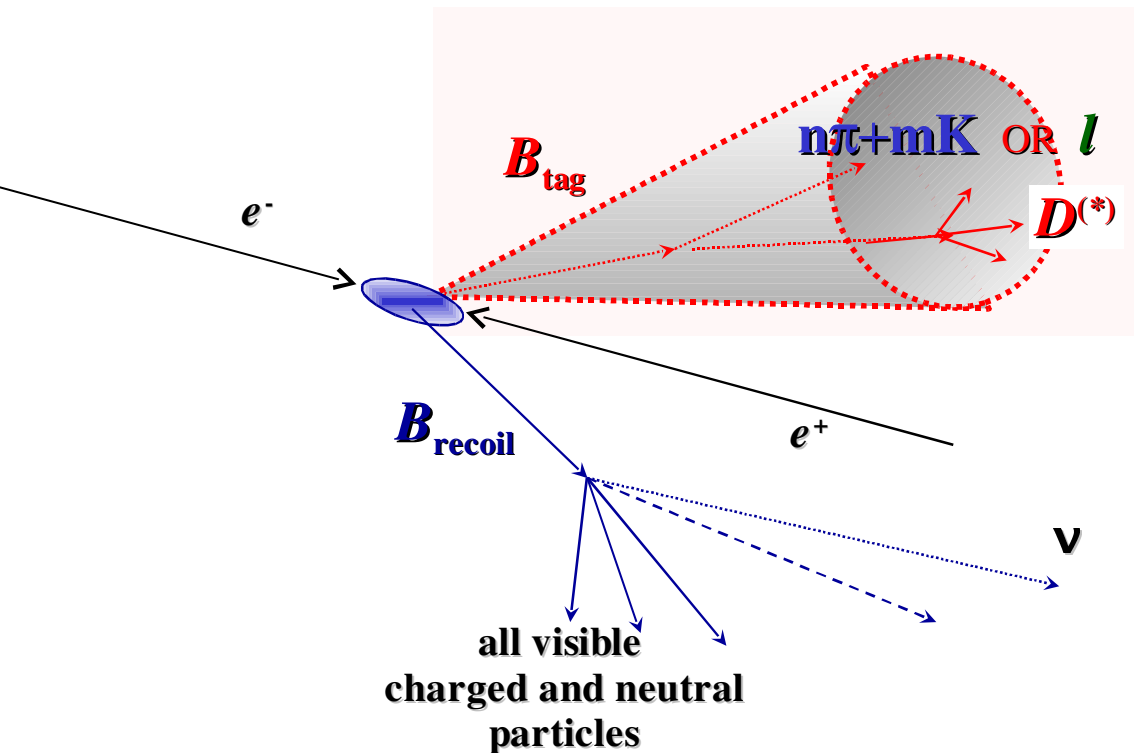
- $b \rightarrow s \nu \bar{\nu}$  branching fraction theoretically clean and potentially sensitive to New Physics
  - No photonic penguin (only Z penguin) and a single Wilson coefficient ( $C_{10}$ ); no long distance contributions



- Experimental limits can constrain everything from charged Higgs and SUSY to scalar dark matter couplings (Bird et al., PRL 93,201803 (2004))
- Presence of neutrinos in the final state makes experimental search challenging, hence search only for exclusive decays
  - Standard model:  $B(B^+ \rightarrow K^+ \nu \bar{\nu}) \sim 4 \times 10^{-6}$

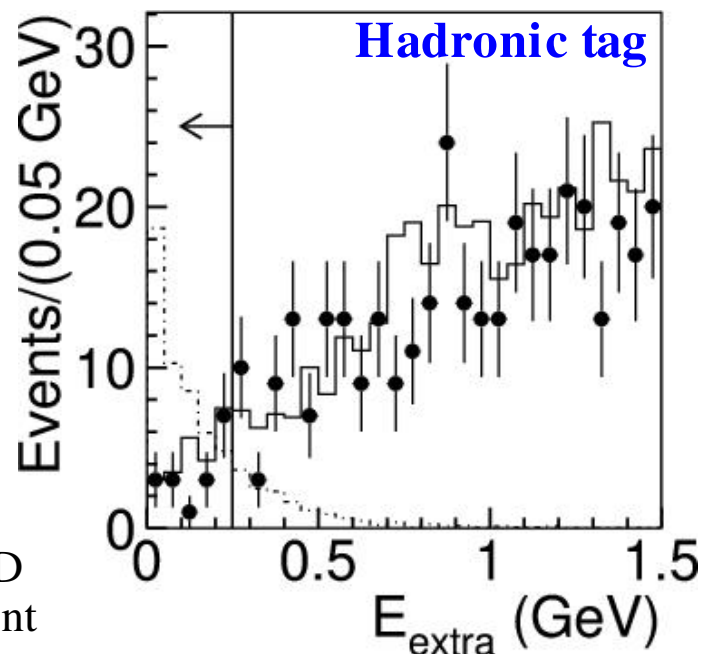
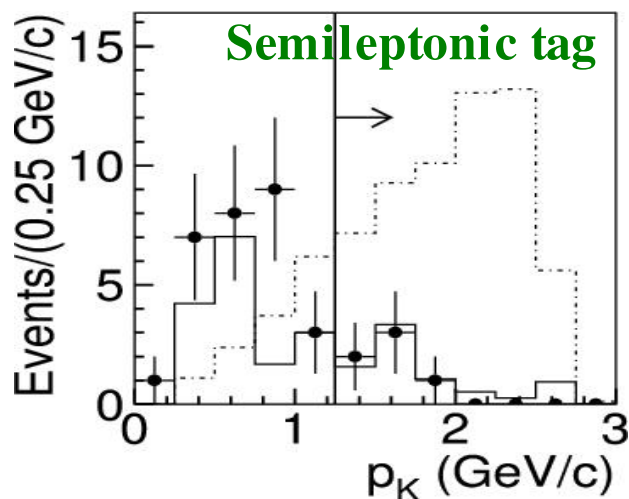
# Recoil method

- Physics with a single-B “beam” (a.k.a. “recoil analysis”)
  - exclusively reconstruct the decay of one B from  $Y(4S) \rightarrow BB$  in either a hadronic or semileptonic decay mode
  - **Hadronic B reconstruction** efficiency of  $\sim 0.2\%$  yields  **$\sim 2000$**  charged-B “tags” per  $\text{fb}^{-1}$ ; **Semileptonic** reconstruction yields  **$\sim 5000$**  per  $\text{fb}^{-1}$



# $B^+ \rightarrow K^+ \nu \bar{\nu}$ (had+SL recoil)

- Require only a single charged track ( $K^\pm$  with  $p_K > 1.25$  GeV/c) and little or no additional calorimeter energy ( $E_{\text{extra}} < 250$  MeV)



reverse PID requirement for  $B^+ \rightarrow \pi^+ \nu \bar{\nu}$  search

Tag	Hadronic	Semileptonic
Efficiency (%)	$0.055 \pm 0.005$	$0.115 \pm 0.009$
Background	$3.9 \pm 1.1$	$3.4 \pm 1.2$ *
Observed events	3	6

(\*non-peaking only)

Based on  $82 \text{ fb}^{-1}$  :

$$B(B^+ \rightarrow K^+ \nu \bar{\nu}) < 5.2 \times 10^{-5}$$

$$B(B^+ \rightarrow \pi^+ \nu \bar{\nu}) < 10 \times 10^{-5}$$

at 90% C.L.

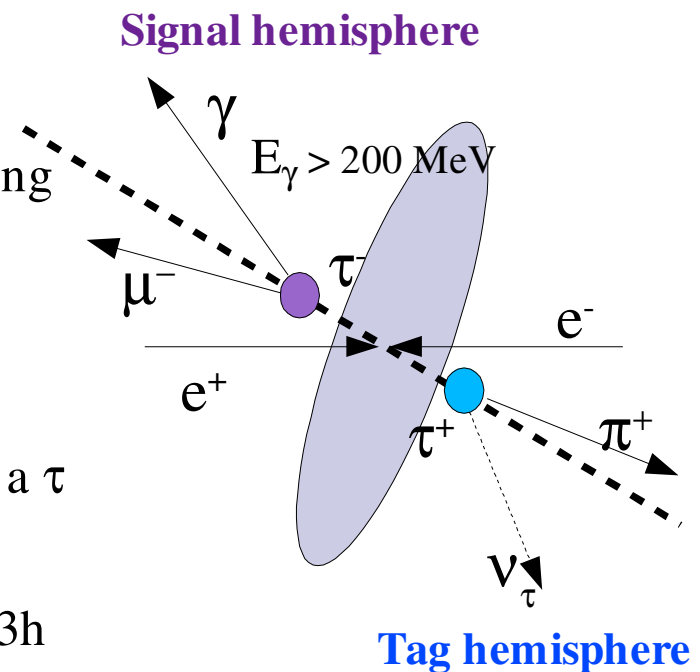
hep-ex/0411061  
(accepted by PRL)

# Neutrinoless $\tau$ decays (LFV)

- Lepton flavour violation in  $\tau$  decays among the most theoretically clean signatures of New Physics
  - Occurs at level of  $\sim 10^{-54}$  in the SM (with non-zero neutrino mass)
  - NP models predict enhancements up to current experimental limits and enhancements in  $\tau$  decays relative to  $\mu$  decays (very model specific!)

- $\tau^+ \rightarrow \mu^+ \gamma$

- 232 fb<sup>-1</sup> (on and off peak data) corresponding to  $2.07 \times 10^8$   $e^+e^- \rightarrow \tau^+\tau^-$  events
- Divide events into “signal” and “tag” hemispheres (based on thrust axis)
- Look for  $\mu$ - $\gamma$  combination consistent with a  $\tau$  parent in events with 1-1 or 1-3 topologies
- Include additional tag modes:  $e$ ,  $\mu$ ,  $h$  and  $3h$

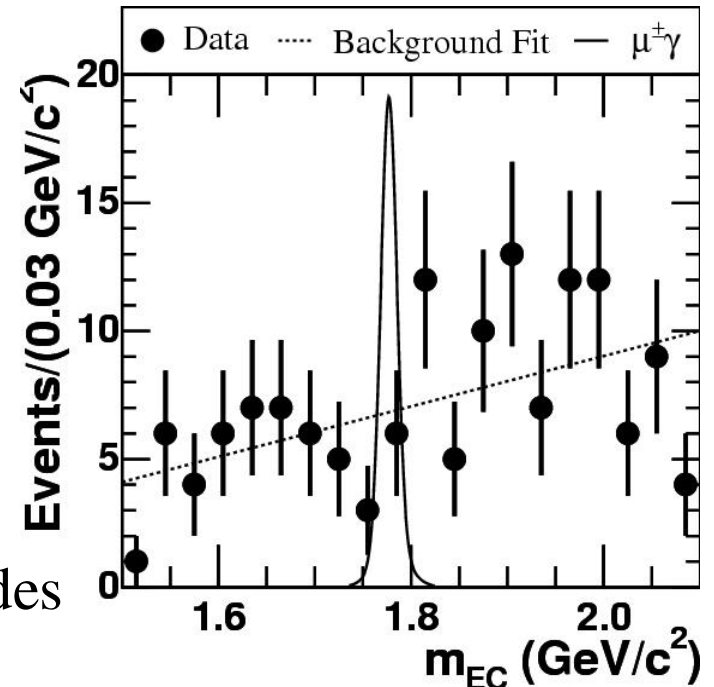




# $\tau^+ \rightarrow \mu^+ \gamma$ results

*BABAR*  
Preliminary!

- Define signal region as  $2\sigma$  ellipse in:
  - $\Delta E = E_{\mu\gamma} - \sqrt{s}/2$
  - $m_{EC} =$  invariant mass resulting from  $\mu\gamma$  kinematic fit with  $E_{\mu\gamma}$  constrained to  $\sqrt{s}/2$
- Additional bg rejection from neural net ( $m_{miss}, p_{miss}^T, p_{max}^{tag}, \cos\theta_H, m_\nu^2$ )
- Total of 4 events observed in all tag modes (expect  $6.2 \pm 0.5$  background)
  - signal efficiency  $\sim 7.4\%$
  - Dominant backgrounds are  $e^+e^- \rightarrow \mu^+\mu^-$  (66%) and  $e^+e^- \rightarrow \tau^+\tau^-$  (27%)
  - Limits extracted from extended ML fit to  $m_{EC}$
- Also BABAR limits on  $\tau \rightarrow ll\bar{l}$  &  $lhh$

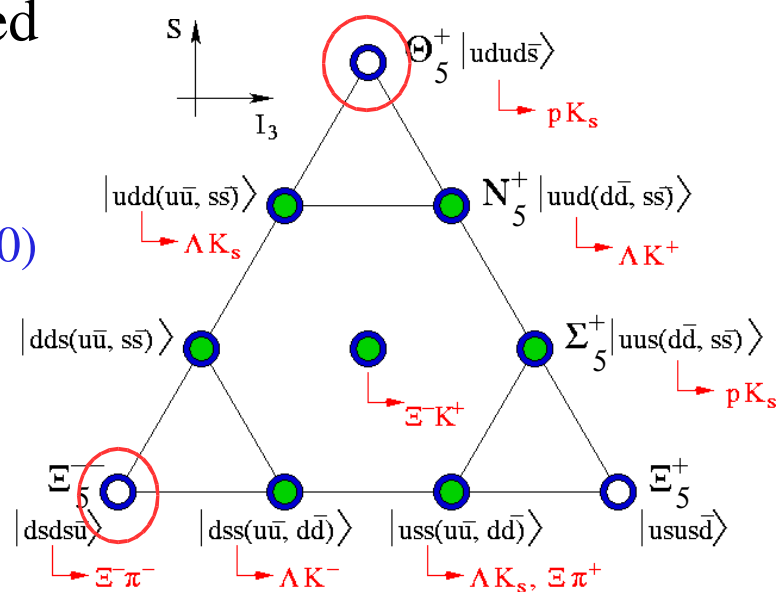


**Based on  $232 \text{ fb}^{-1}$  :**  
 $B(\tau^+ \rightarrow \mu^+\gamma) < 6.8 \times 10^{-8}$   
at 90% C.L.  
hep-ex/0502032  
(submitted to PRL)

PRL 92:121801, 2004 and hep-ex/0409036

# Pentaquark searches

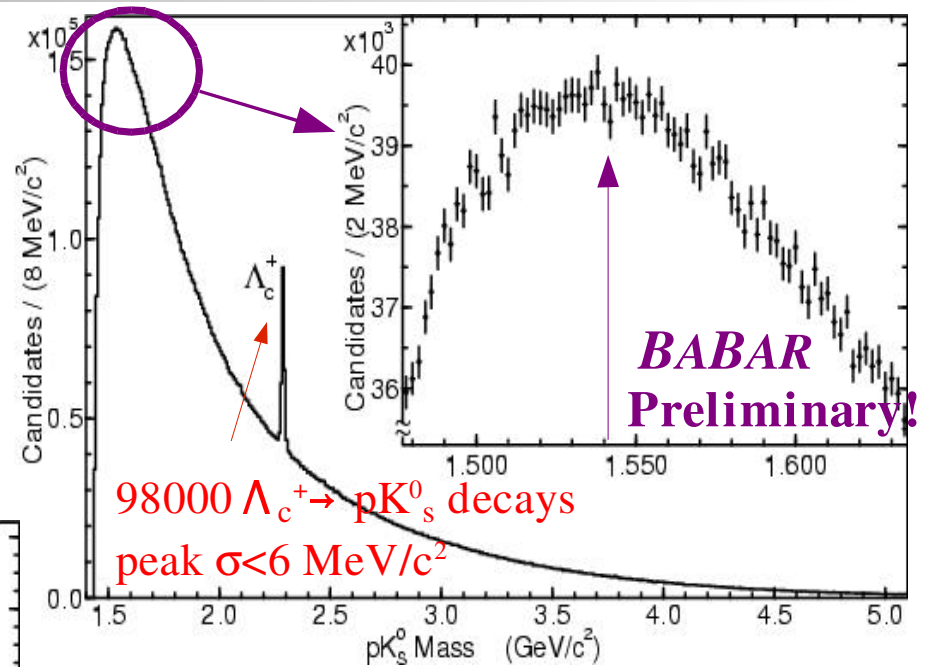
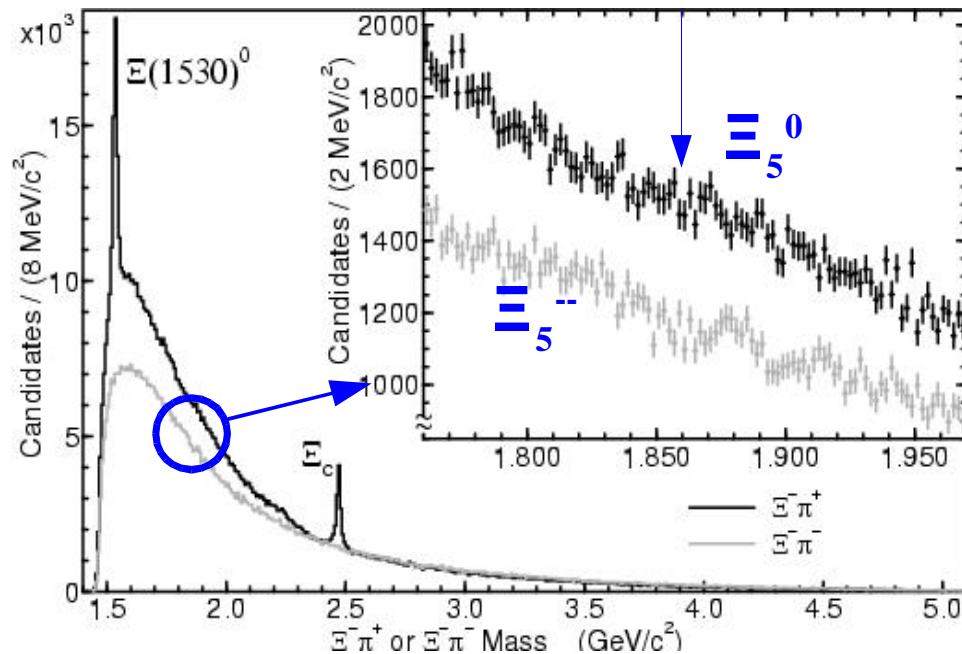
- Recent pentaquark frenzy triggered by paper by D.Diakonok, V. Petrov and M. Polyakov Z.Phys. A 359 (1997) 305., and evidence for  $\Theta^+(1540)$  from several experimental groups
  - Chiral soliton model with  $N^+(1710)$  as input, and mass splitting of  $\sim 180\text{MeV}$
  - “Exotic”  $\Theta^+(1540)$  would have to have minimal quark content of  $ududs\bar{s}$
- BABAR preliminary results presented at ICHEP 04 (hep-ex/0408064; BABAR-CONF-04/36)
  - Search for  $\Theta^+(1540)$ ,  $\Xi^-(1860)$ ,  $\Xi^0(1860)$  as well as other members of the antidecuplet and corresponding octet
- Results recently submitted to PRL





# $\Theta(1540)^+$ , $\Xi_5(1860)^{-}$ and $\Xi_5(1860)^0$

- Search for  $\Theta^+ \rightarrow p K_s^0 (K_s^0 \rightarrow \pi^+ \pi^-)$ 
  - Use all events accepted by trigger (>99% efficient for  $ee \rightarrow qq$ )
  - Reconstructed invariant mass resolution ranges from 2 – 8 MeV/c depending on mode and momentum.



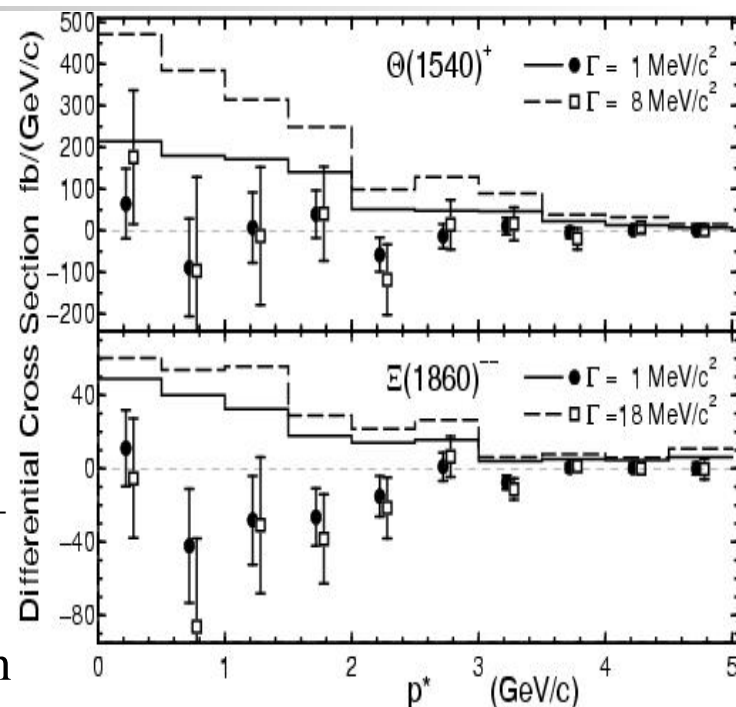
98000  $\Lambda_c^+ \rightarrow p K_s^0$  decays  
peak  $\sigma < 6 \text{ MeV}/c^2$

- $\Xi_5^{--} \rightarrow \Xi^- \pi^-$  and  $\Xi_5^0 \rightarrow \Xi^- \pi^+$   
with  $\Xi^- \rightarrow \Lambda^0 \pi^-$ ,  $\Lambda^0 \rightarrow p \pi^-$ 
  - observe 290000  $\Xi^-$  candidates with S:B of 23:1 in  $\Lambda^0 p^-$  mass
  - signal efficiency of 6.5% (low  $p^*$ ) to 12% (high  $p^*$ )

# Pentaquark results

*BABAR*  
Preliminary!

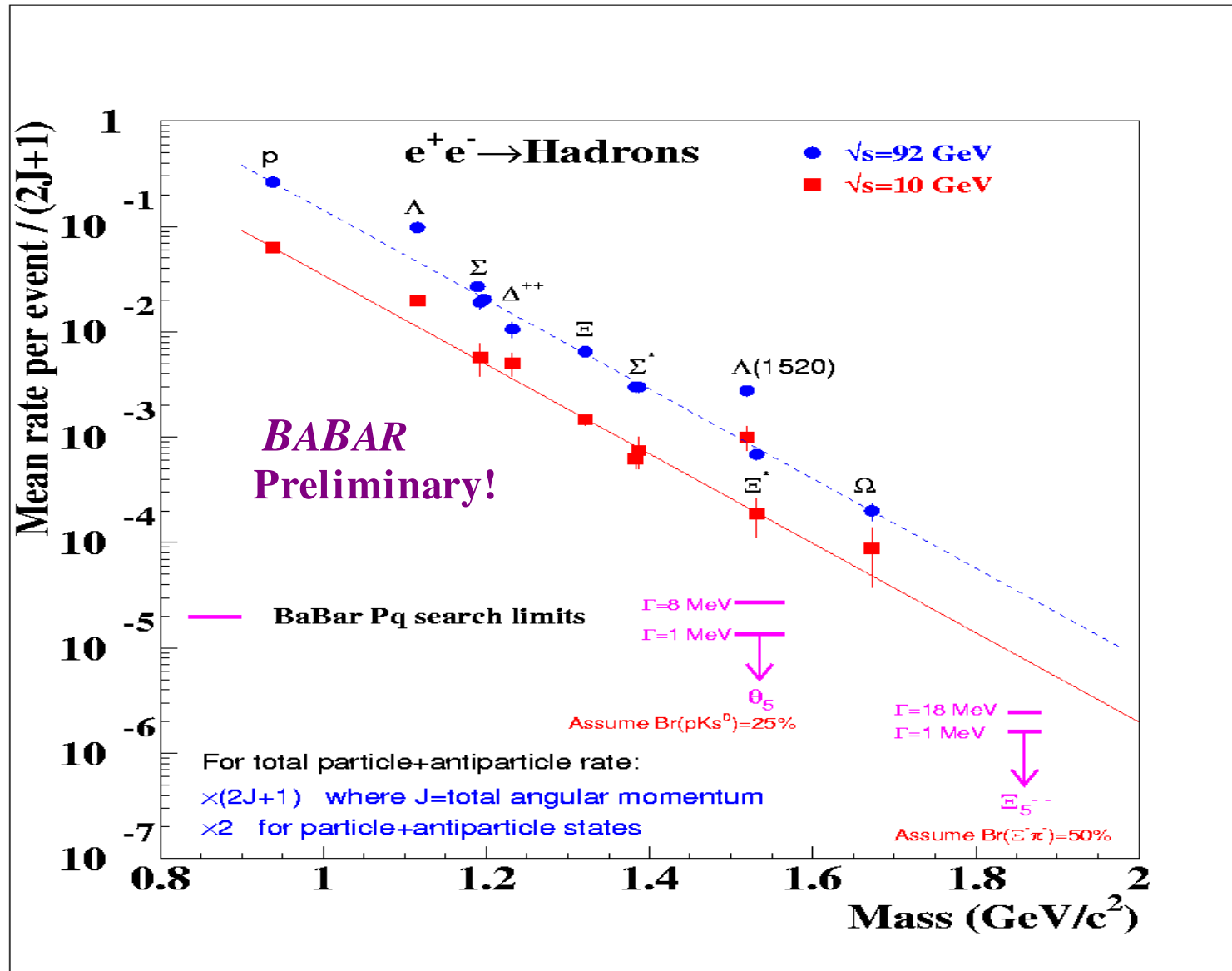
- No evidence for  $\Theta(1540)^+$  or  $\Xi_5(1860)$
- Production mechanism not known:
  - model-independent limits derived on inclusive production rates by fitting mass spectra in bins of  $p^*$
  - signal width varied from 1 MeV/c<sup>2</sup> up to natural widths of 8(18) MeV/c<sup>2</sup> for  $\Theta(1540)^+$  ( $\Xi(1860)^{-}$ ) reported by other experiments
  - yields quoted relative to total number of both  $ee \rightarrow qq$  and  $Y(4S)$  events
- If  $\Theta(1540)^+$  or  $\Xi_5(1860)^{-}$  pentaquarks exist, they are suppressed in  $e^+e^- \rightarrow qq$  relative to ordinary baryons of similar mass by at least factors of 8 and 4 respectively



Pentaquark state	Cross-section UL (fb)	$e^+e^- \rightarrow qq$ yield UL ( $10^{-5}/\text{event}$ )
$\Theta^+ + \Theta^-$	171 (363)	5 (11)
$\Xi_5^{--} + \Xi_5^{++}$	25 (36)	0.74 (1.1)

$\Gamma = 1 \text{ MeV}/c^2$  ( $\Gamma = \text{natural width}$ )  
Based on 123 fb<sup>-1</sup> of BABAR data  
hep-ex/0502004 (submitted to PRL)

# Baryon production rates in $e^+e^-$



# Summary

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- Present *BABAR* data set has permitted sensitive searches for rare B,  $\tau$  and charm decays as well as exotic processes
  - currently  $\sim 240 \text{ fb}^{-1}$  recorded; anticipate doubling by summer 2006
- Stringent (and interesting) limits on EW penguin processes
  - $B \rightarrow \rho/\omega \gamma$ ,  $B \rightarrow K/\pi \nu\nu$ ,  $B^0 \rightarrow 1^+1^-$ ,  $D^0 \rightarrow 1^+1^-$
  - “Recoil method” analyses now have  $O(10^6)$  reconstructed (hadronic + semileptonic) B decays, giving interesting sensitivity to modes with otherwise intractable backgrounds (e.g.  $B \rightarrow K\nu\nu$ )
- Comprehensive limits on LFV in  $\tau$  decays
- No evidence for pentaquark production in  $e^+e^-$

# Backup slides

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# $\tau$ decay LFV results

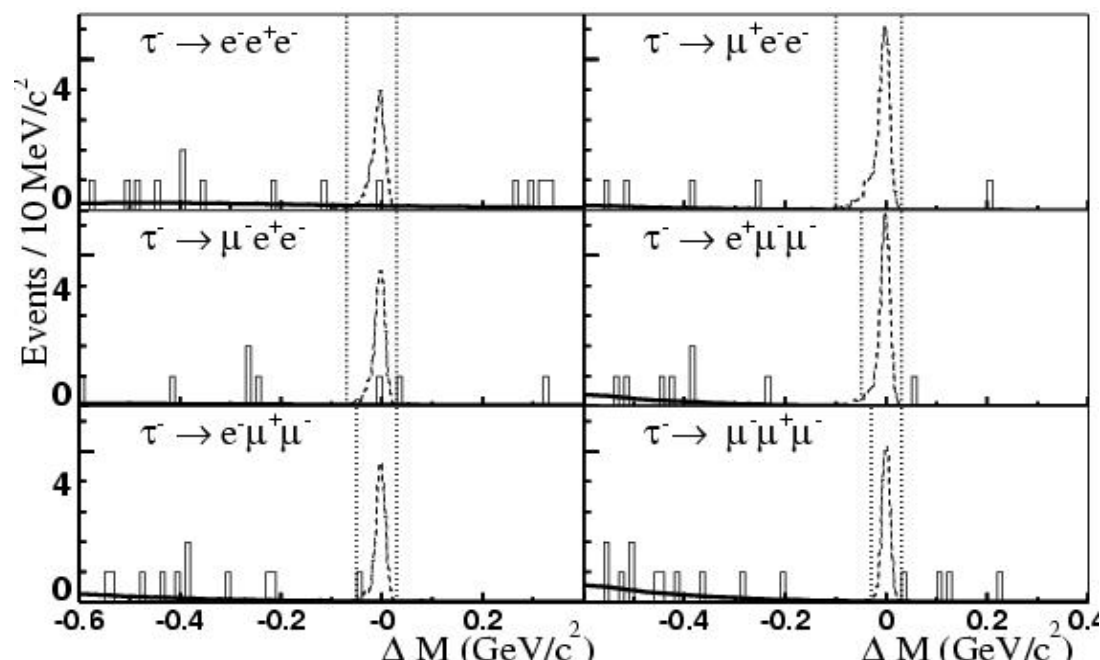
Based on 91.5 fb<sup>-1</sup> :

$$\begin{aligned}
 B(\tau \rightarrow e^- e^+ e^-) &< 1.4 \times 10^{-7} && \text{at 90\% C.L.} \\
 B(\tau \rightarrow \mu^+ e^- e^-) &< 1.4 \times 10^{-7} \\
 B(\tau \rightarrow \mu^- e^+ e^-) &< 1.4 \times 10^{-7} && \text{PRL 92:121801, 2004} \\
 B(\tau \rightarrow e^+ \mu^- \mu^-) &< 1.4 \times 10^{-7} && (\text{hep-ex/0312027}) \\
 B(\tau \rightarrow e^- \mu^+ \mu^-) &< 1.4 \times 10^{-7} \\
 B(\tau \rightarrow \mu^- \mu^+ \mu^-) &< 1.4 \times 10^{-7}
 \end{aligned}$$

Based on 221 fb<sup>-1</sup> :

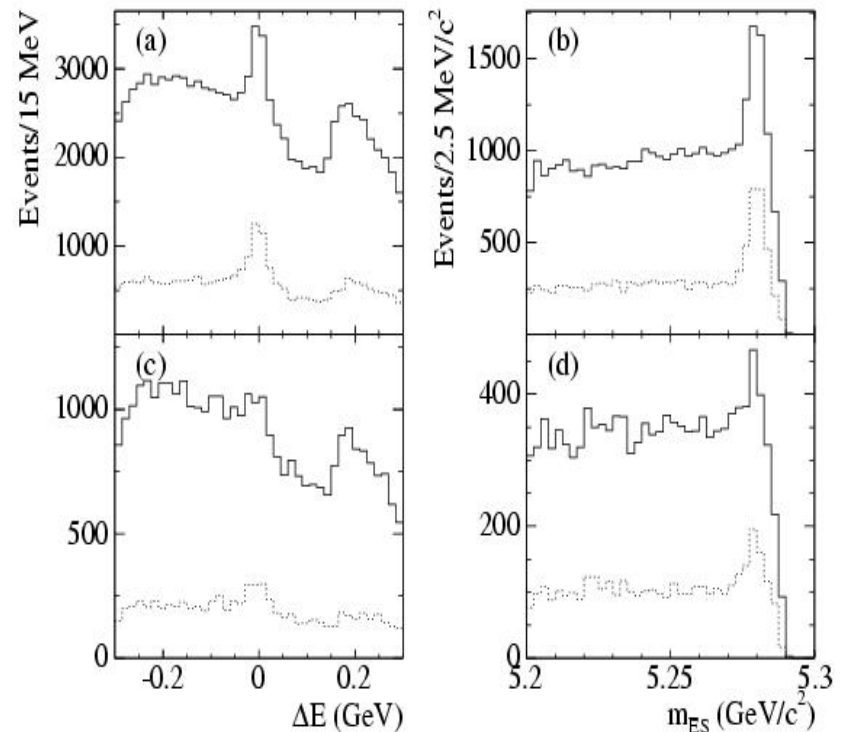
$$\begin{aligned}
 B(\tau^- \rightarrow e^- K^+ K^-) &< 1.4 \times 10^{-7} \\
 B(\tau^- \rightarrow e^- K^+ \pi^-) &< 1.7 \times 10^{-7} \\
 B(\tau^- \rightarrow e^- \pi^+ K^-) &< 3.2 \times 10^{-7} \\
 B(\tau^- \rightarrow e^- \pi^+ \pi^-) &< 1.2 \times 10^{-7} \\
 B(\tau^- \rightarrow \mu^- K^+ K^-) &< 2.5 \times 10^{-7} \\
 B(\tau^- \rightarrow \mu^- K^+ \pi^-) &< 3.2 \times 10^{-7} \\
 B(\tau^- \rightarrow \mu^- \pi^+ K^-) &< 2.6 \times 10^{-7} \\
 B(\tau^- \rightarrow \mu^- \pi^+ \pi^-) &< 2.9 \times 10^{-7} \\
 B(\tau^- \rightarrow e^- K^+ K^-) &< 1.5 \times 10^{-7} \\
 B(\tau^- \rightarrow e^- K^+ \pi^-) &< 1.8 \times 10^{-7} \\
 B(\tau^- \rightarrow e^- \pi^+ \pi^-) &< 2.7 \times 10^{-7} \\
 B(\tau^- \rightarrow \mu^- K^+ K^-) &< 4.8 \times 10^{-7} \\
 B(\tau^- \rightarrow \mu^- K^+ \pi^-) &< 2.2 \times 10^{-7} \\
 B(\tau^- \rightarrow \mu^- \pi^+ \pi^-) &< 0.7 \times 10^{-7} \\
 &&& \text{at 90\% C.L.}
 \end{aligned}$$

hep-ex/0409036 (presented at TAU04)



# Charged partner of the $X(3872)$

- Narrow  $J/\psi \pi^+\pi^-$  resonance observed in  $B^- \rightarrow X K^-$  decays by Belle and confirmed by other experiments including BABAR (hep-ex/0406022)
  - kinematic distribution of  $\pi^+\pi^-$  consistent with  $\rho^0 \rightarrow \pi^+\pi^-$  hence might expect isospin partner decay  $X^- \rightarrow J/\psi \rho^-$
- Reconstruct  $B^0 \rightarrow X^- K^+$  and  $B^- \rightarrow X^- K_s^0$  with  $X^- \rightarrow J/\psi \pi^- \pi^0$ 
  - $J/\psi \rightarrow e^+e^-, \mu^+\mu^-$ ;  $K_s^0 \rightarrow \pi^+\pi^-$
  - Retain B candidates with  $|m_{ES} - m_B| < 5 \text{ MeV}/c^2$  and  $\Delta E < 20 \text{ MeV}$
  - $0.67 < m(\pi^+\pi^0) < 0.78 \text{ MeV}/c^2$



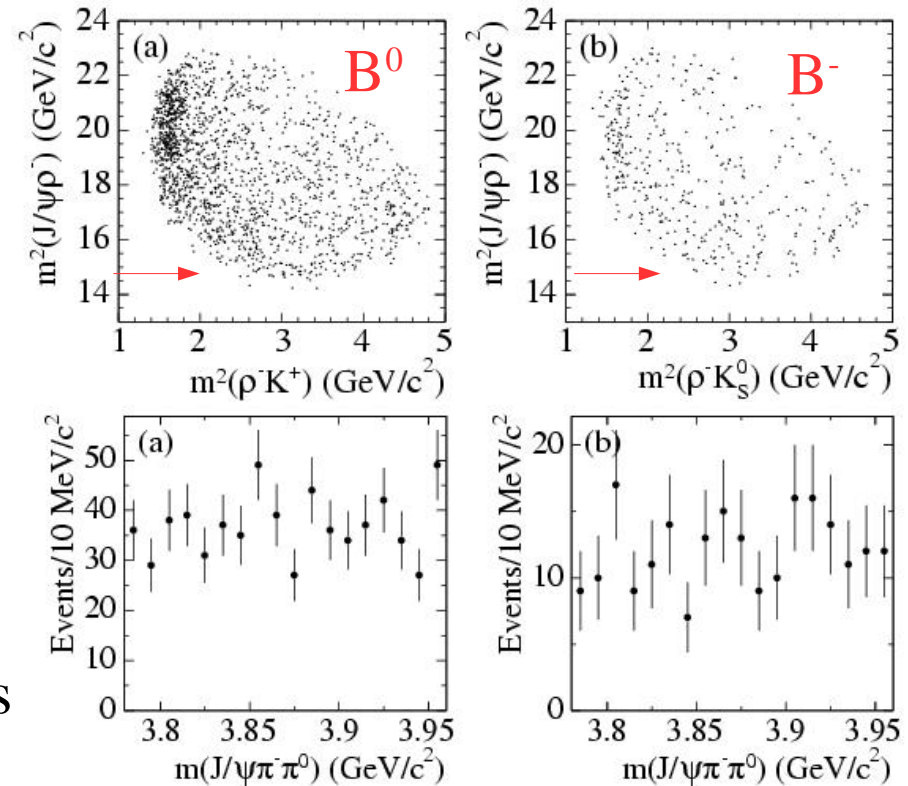


# $X^-$ results

**BABAR**  
Preliminary!

- No evidence of signal apparent either in Dalitz plots or in  $m(J/\Psi \pi^+ \pi^0)$  distributions
- Isovector signal hypothesis predicts:  

$$B(B \rightarrow X^- K, X^- \rightarrow J/\Psi \pi^+ \pi^0) = 2 \times B(B \rightarrow X(3872) K, X \rightarrow J/\Psi \pi^+ \pi^-)$$
- comparison of  $X^-$  limits with BABAR  $X(3872)$  result excludes isovector- $X$  hypothesis
  - (likelihood ratio favours null hypothesis by factor  $1.1 \times 10^4$ )



**Based on  $212 \text{ fb}^{-1}$  :**

$$B(B^0 \rightarrow X^- K^+, X^- \rightarrow J/\Psi \pi^- \pi^0) < 5.4 \times 10^{-6}$$

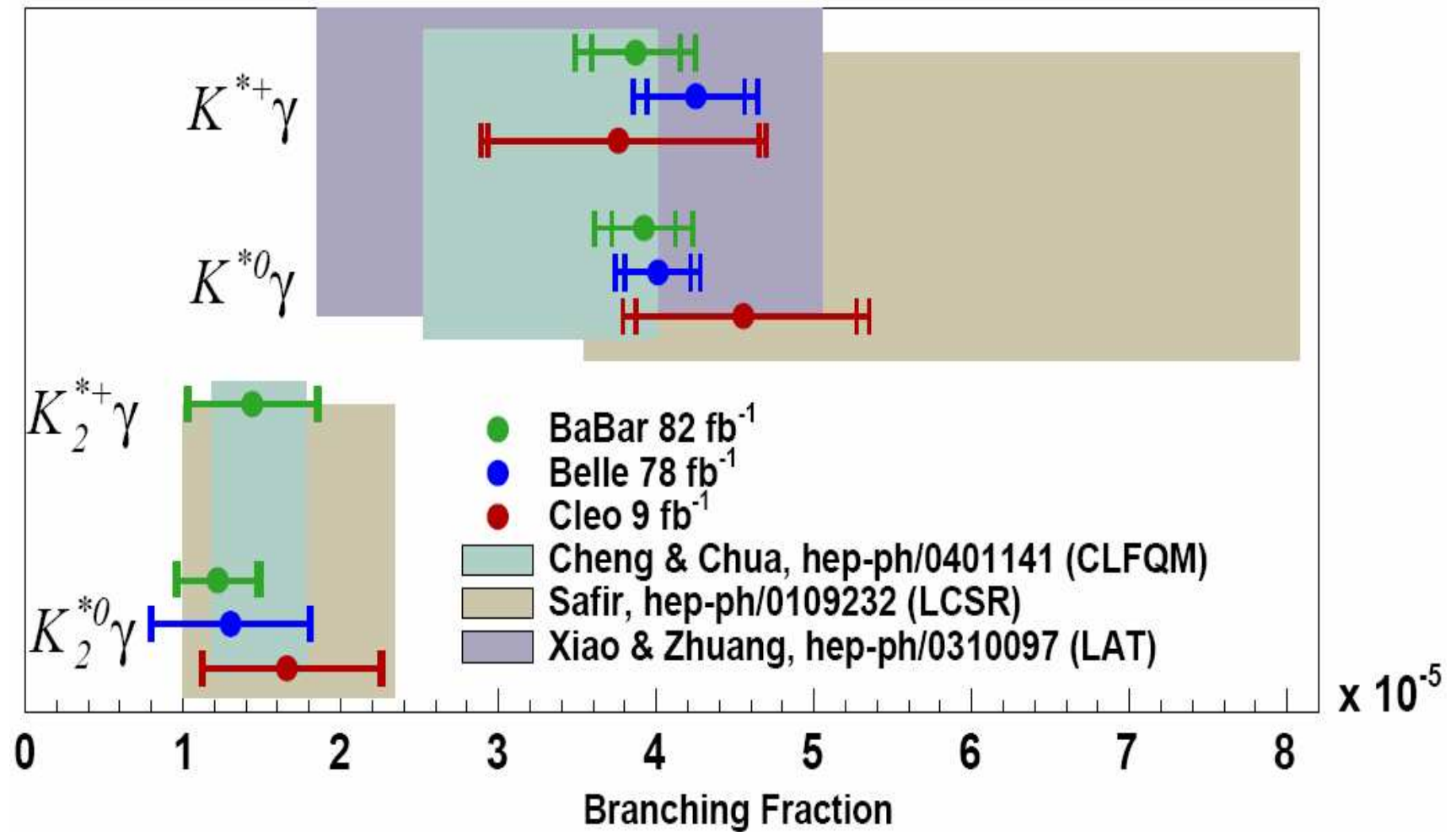
$$B(B^0 \rightarrow X^- K^0, X^- \rightarrow J/\Psi \pi^- \pi^0) < 22 \times 10^{-6}$$

at 90% C.L.

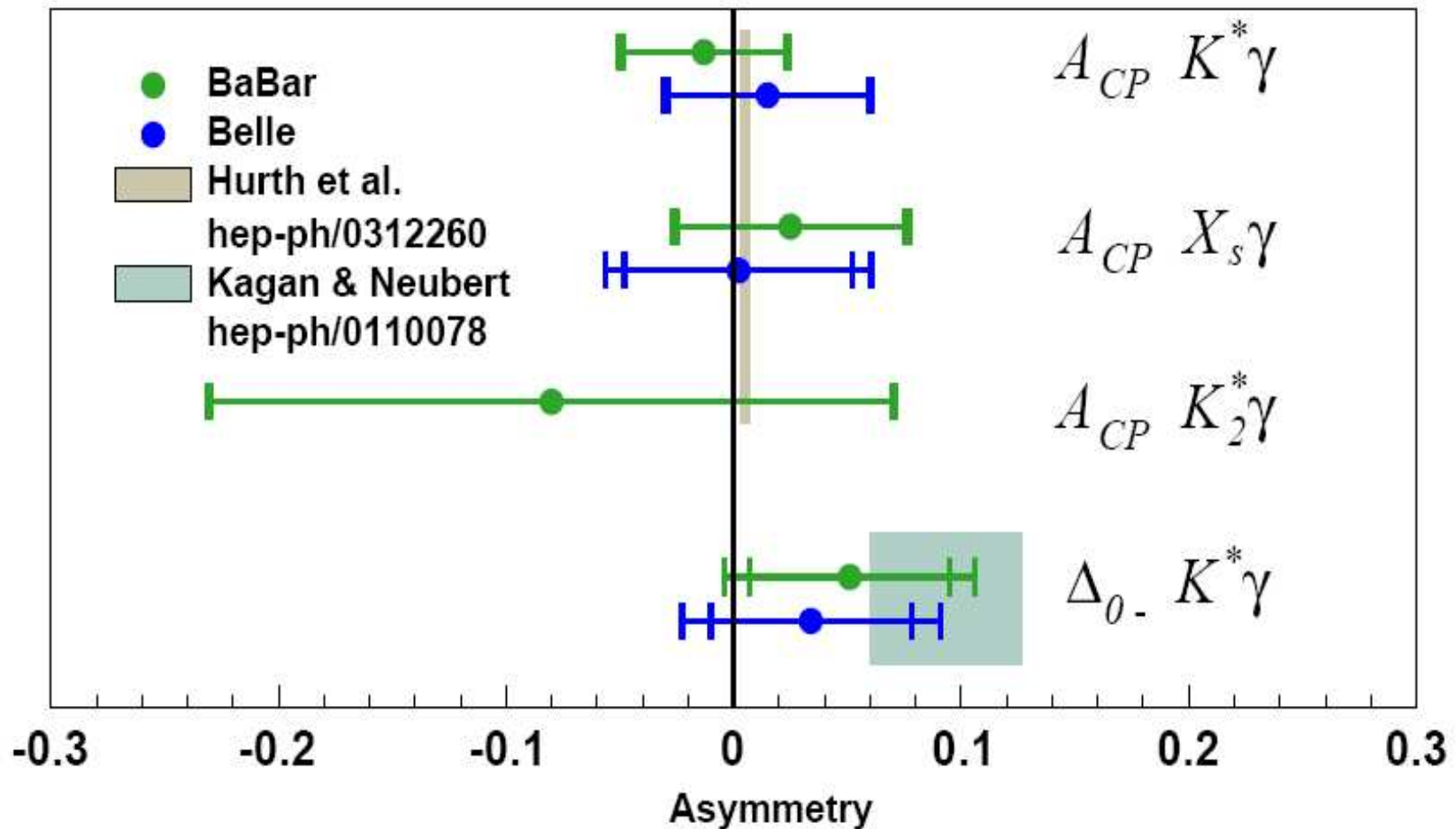
hep-ex/0412051 (submitted to PRD-RC)



# B( $B \rightarrow K^* \gamma$ ) results

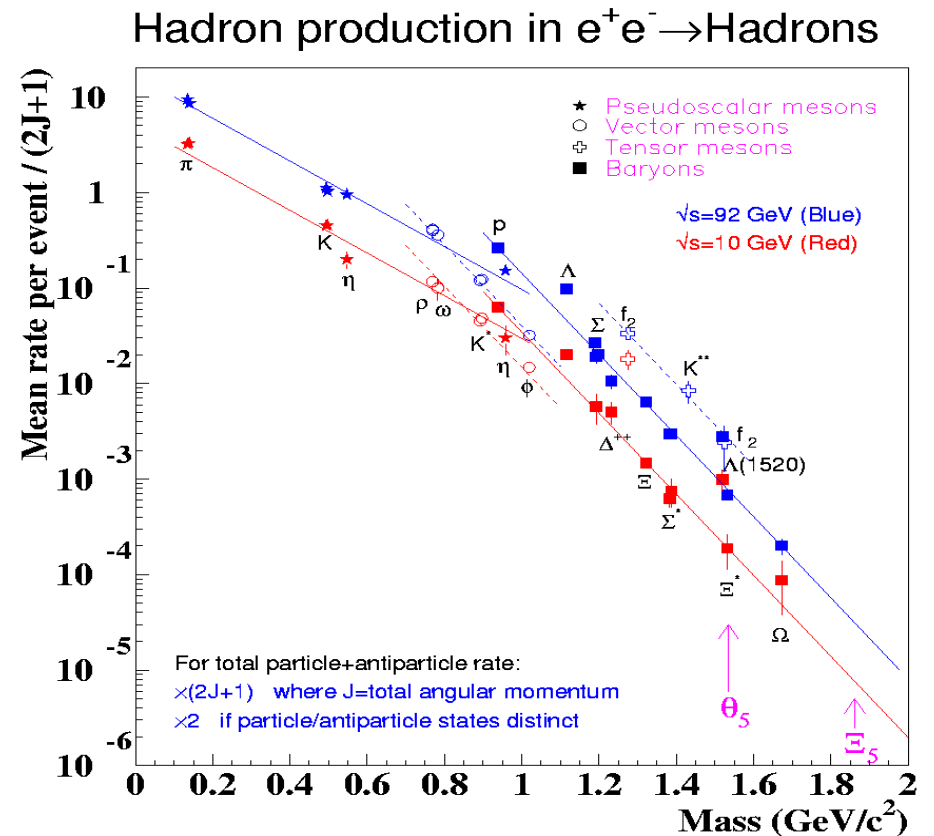
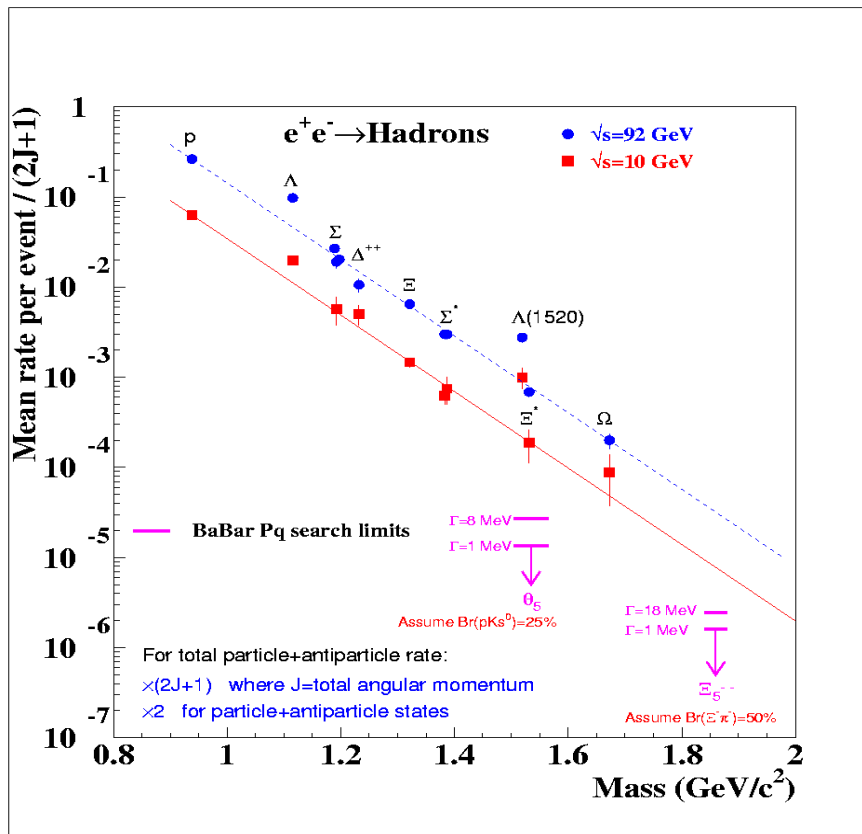


# $B \rightarrow K^* \gamma$ CP asymmetry

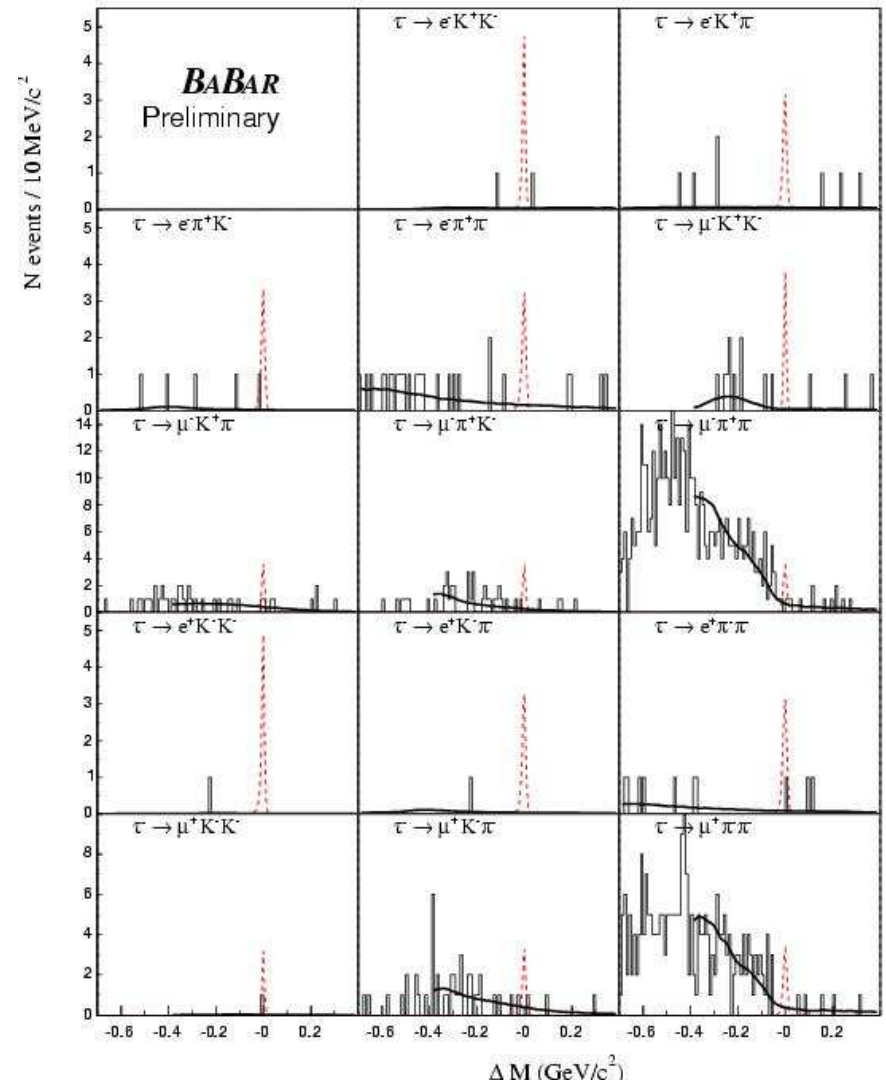
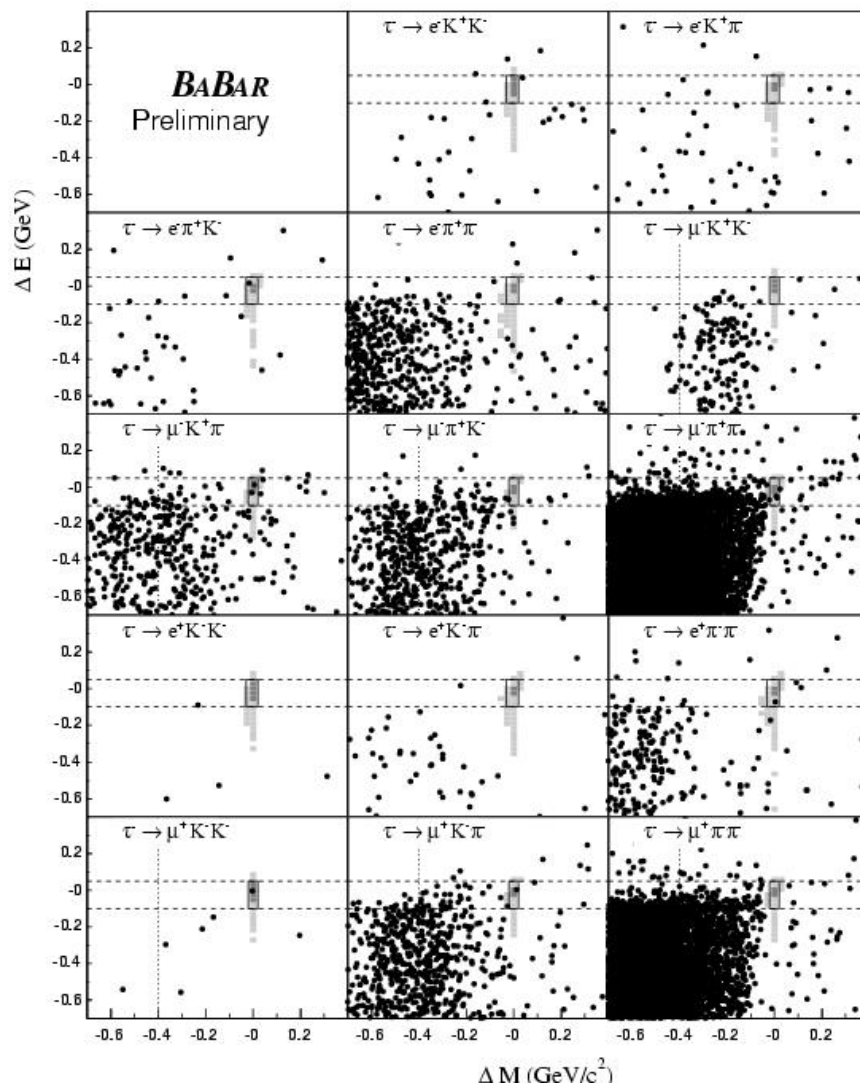


# Hadron production rates in $e^+e^-$

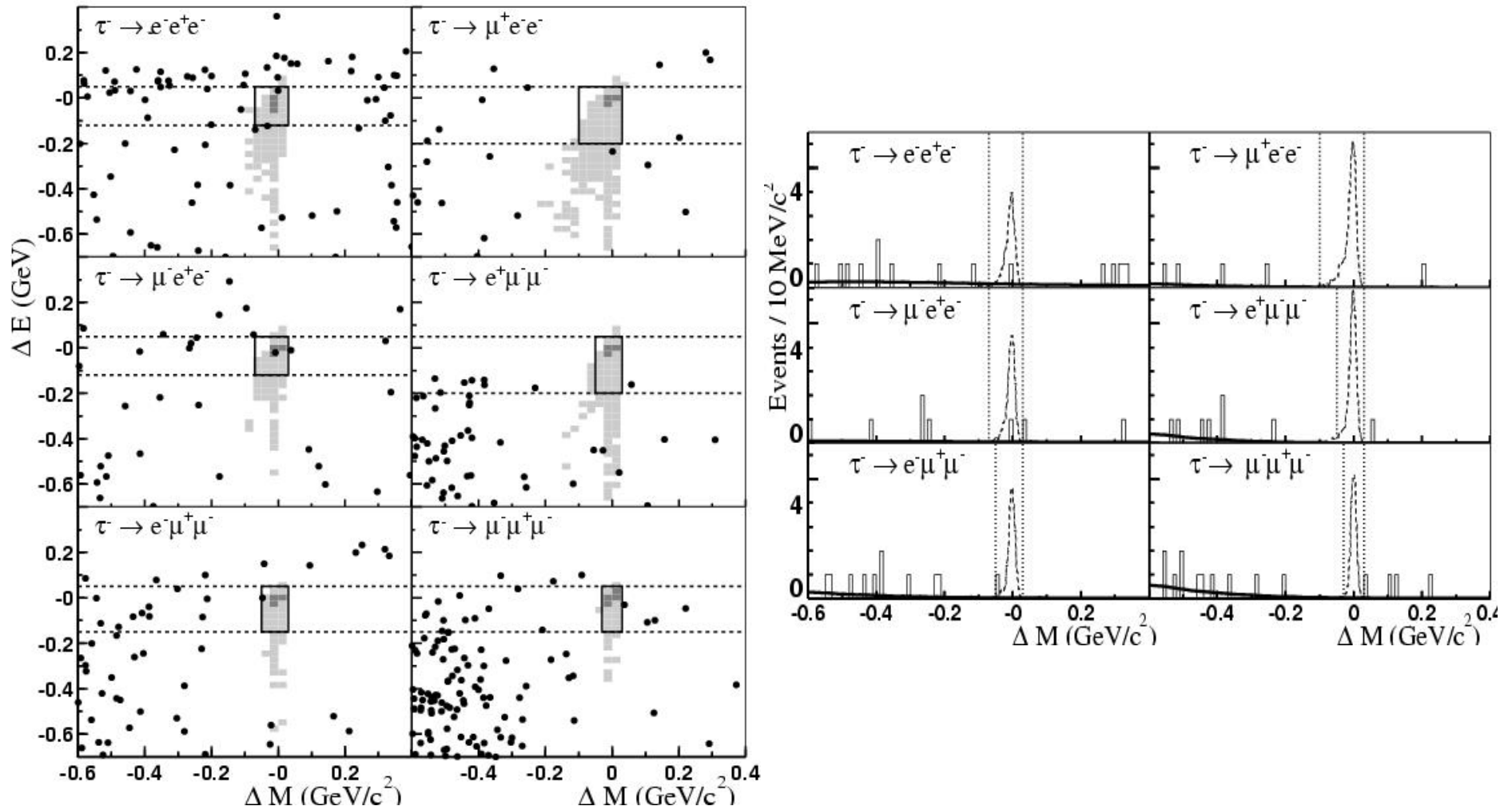
- Adapted from PDG, K.Hagiwara et al., Phys. Rev. D 66, 010001 (2002)



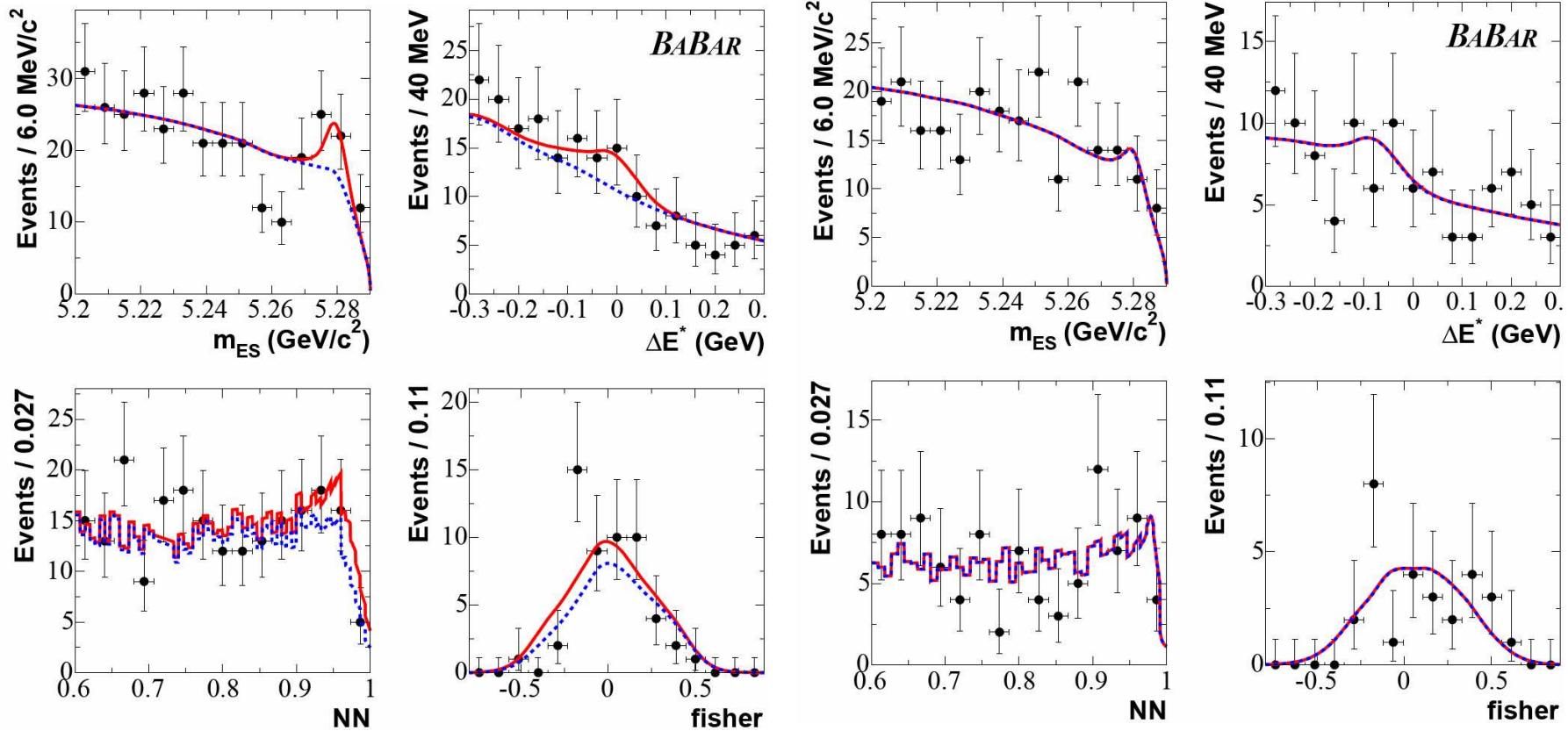
# $\tau^+ \rightarrow l^+ h^- h^+$



# $\tau^+ \rightarrow l^+ h^- h^+$

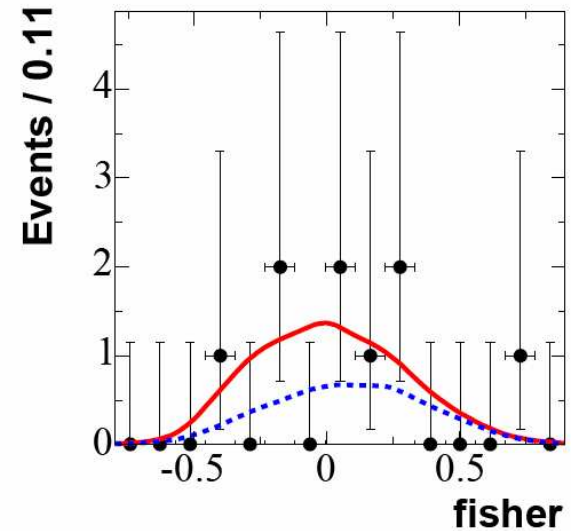
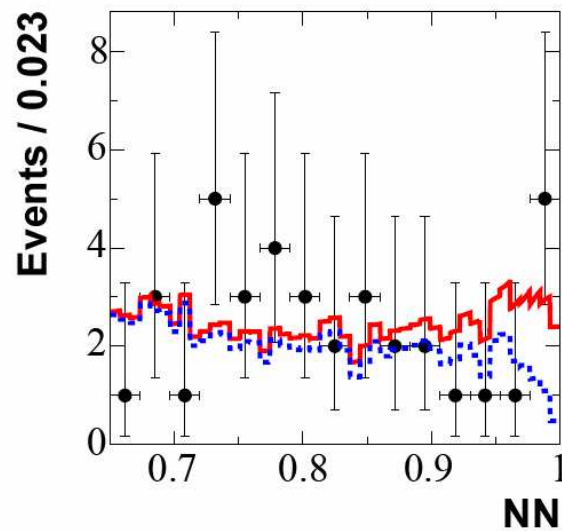
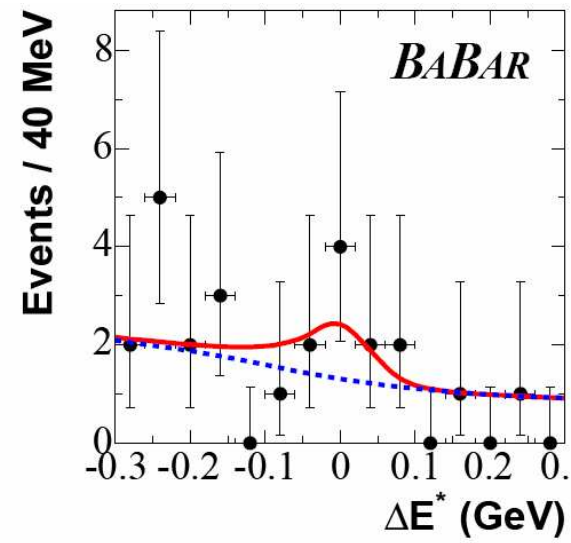
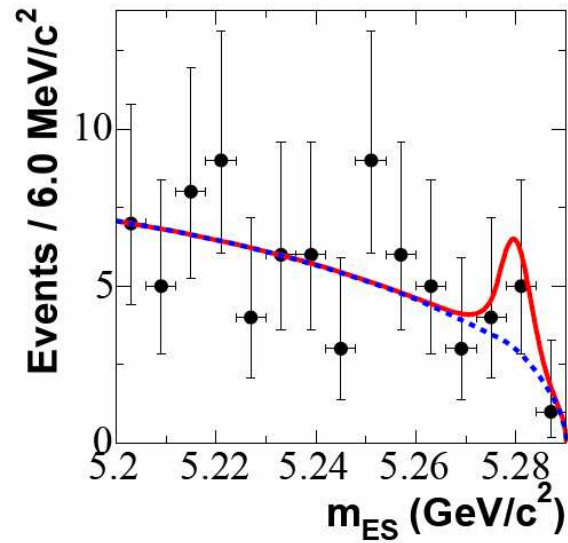


# $B^+ \rightarrow \rho^+ \gamma$ and $B^0 \rightarrow \rho^0 \gamma$





# $B \rightarrow \omega \gamma$



# $B^0 \rightarrow \phi \gamma$

