

Theory of rare kaon decays

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Outline

- Introduction
- $K \rightarrow \pi \nu \bar{\nu}$
- Minimal Flavour Violation (MFV)
- $K \rightarrow \pi \ell \bar{\ell}$
- $K_L \rightarrow \mu \bar{\mu}$
- Conclusions

Introduction

- The SM with 3 families predicts weak interactions with an unitary matrix V_{ij} : 3 angles and 1 phase (**CP violating**)

$$\begin{array}{c} \text{Wolfenstein} \\ \overbrace{V_{ud}, V_{cb}, V_{td}} \\ \Downarrow \\ \lambda, A\lambda^2, A\lambda^3(1 - \rho - i\eta) \end{array}$$

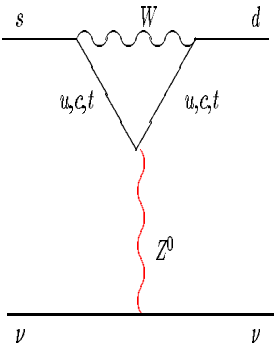
- FCNC only at 1-loop
- **B**-physics, V_{ij} -unitarity test: possible but not easy
- The area of all possible CKM-unitarity triangles is an invariant:

$$|J_{CP}| \stackrel{\text{Wolfenstein}}{\simeq} A^2 \lambda^6 \eta$$

- As we shall see $B(K_L \rightarrow \pi^0 \nu \bar{\nu})$ will measure this area
- **Already** $B(K^+ \rightarrow \pi^+ \nu \bar{\nu})$ tests **B**-physics ($\Delta M_B, \beta$)

see Isidori talk

$$A(s \rightarrow d \nu \bar{\nu}) \sim \bar{s}_L \gamma_\mu d_L \quad \bar{\nu}_L \gamma^\mu \nu_L \times \left[\sum_{q=c,t} V_{qs}^* V_{qd} m_q^2 \right]$$



$$\sim [A^2 \lambda^5 (1 - \rho - i\eta) m_t^2 + \lambda m_c^2]$$

SM: $\underbrace{V - A \otimes V - A}_{\Downarrow}$

Littenberg

$$\Gamma(K_L \rightarrow \pi^0 \nu \bar{\nu}) \begin{cases} \text{CP violating} \\ \Rightarrow J = A^2 \lambda^6 \eta \\ \text{Only } top \end{cases}$$

- K^+ : $t > \text{charm} \xrightarrow{\text{NLO-QCD}} K^+ \mathcal{O}(5\%), K_L \mathcal{O}(1\%)$

- $K_{l3} \xrightarrow{SU(2)\text{isospin}} \langle \pi | \bar{s} \gamma_\mu d | K \rangle (\leq 1\% \text{ Marciano-Parsa})$

- SM- BR($K \rightarrow \pi\nu\bar{\nu}$)

Buchalla-Buras

$$K^+ \quad (0.72 \pm 0.21) \cdot 10^{-10} \quad K_L : (2.8 \pm 1.0) \cdot 10^{-11}$$

CKM 10% accuracy

Kopio,KEK

- 2 K^+ candidates for BNL-E787

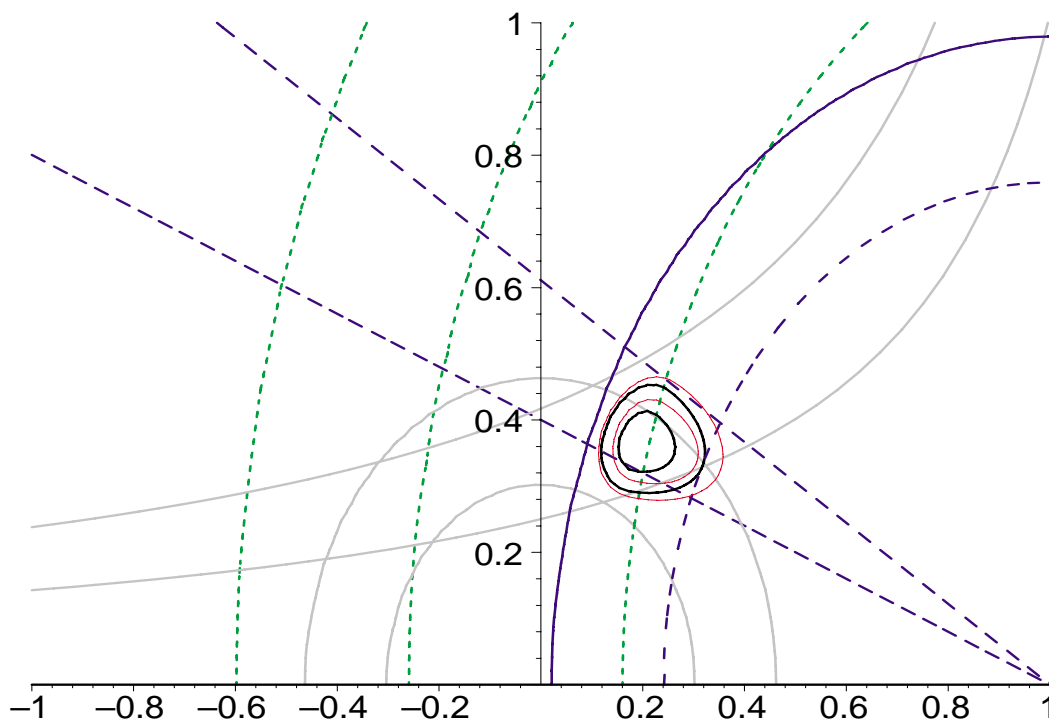
E949 should improve

$$B(K^+) = (1.57_{-0.82}^{+1.75}) \cdot 10^{-10}$$

- K_L Model-independent bound, based on $SU(2)$ properties dim-6 operators for $\bar{s}d\bar{\nu}\nu$

Grossman-Nir

$$B(K_L) \leq \frac{\tau_L}{\tau_+} \times B(K^\pm) < 1.7 \times 10^{-9} \quad \text{at } 90\% C.L.$$



- **two** scenarios

- NP in $\bar{s}d\bar{\nu}\nu \xrightarrow{NP} \bar{b}s\bar{\nu}\nu$

- NP in $B - \bar{B}$ -mixing

Effective supersymmetry, 3 s-family lighter ≤ 1 TeV,
why?

Minimal Flavour violation

- **naturalness ?** From $K - \bar{K}$ -mixing $\implies \Lambda_{NP} > 100$ TeV, stability of the Higgs potential $\implies \Lambda_{NP} < 1$ TeV
- NP is **not** generic, but has a symmetry (MFV), which generalizes the GIM mechanism. We want some global symmetry (G_F) allowing only th SM Yukawas

$$\mathcal{L} = \bar{Q}_L Y_D D_R H + \bar{Q}_L Y_U U_R H_c + \bar{L}_L Y_E E_R H$$

$G_F = U(3)^5$: 3 families of Q, U, D, L, E_R

Chivukula-Georgi

$\Lambda_{NP} > 100$ TeV: All allowed

$\Lambda_{NP} < 100$ TeV: NP dynamics preserves G_F



$\Lambda < 1$ TeV: SM gauge group, $G_F, Y_{U,D,L}$

$$\Delta F = 2 \quad \mathcal{L}_{NP} \xrightarrow{U(3)^5} \mathcal{L}_{MFV} =$$

$$= \frac{C}{\Lambda_{MFV}^2} \left[\frac{(V_{td}^* m_t^2 V_{tb})^2}{v^4} (\bar{d}_L \gamma^\mu b_L)^2 + \frac{(V_{td}^* m_t^2 V_{ts})^2}{v^4} (\bar{d}_L \gamma^\mu s_L)^2 \right]$$

- Fixing $C \sim 1 \implies$ bound on Λ_{MFV}^2

Buras et al., Nir et al., G.D., Giudice, Isidori, Strumia

- We write the most general dim-6 \mathcal{L} (a la Fermi) consistent with MFV and determine bounds/correlations

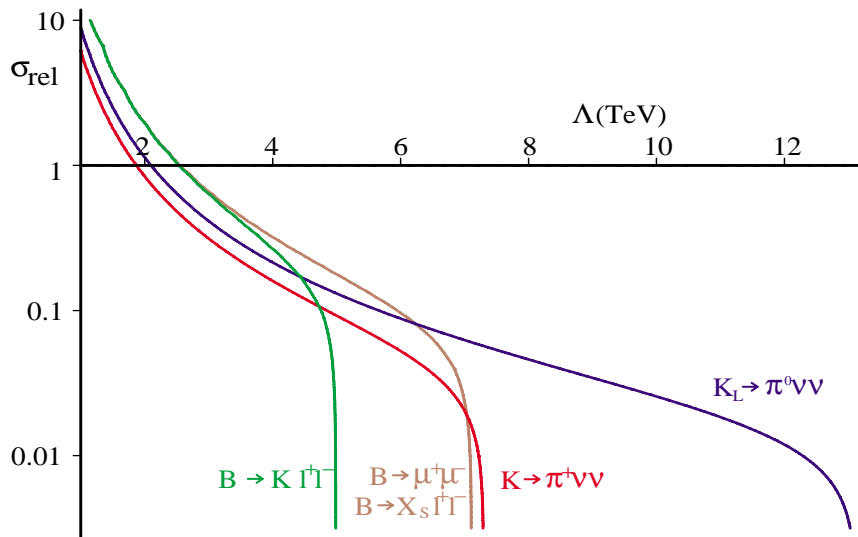
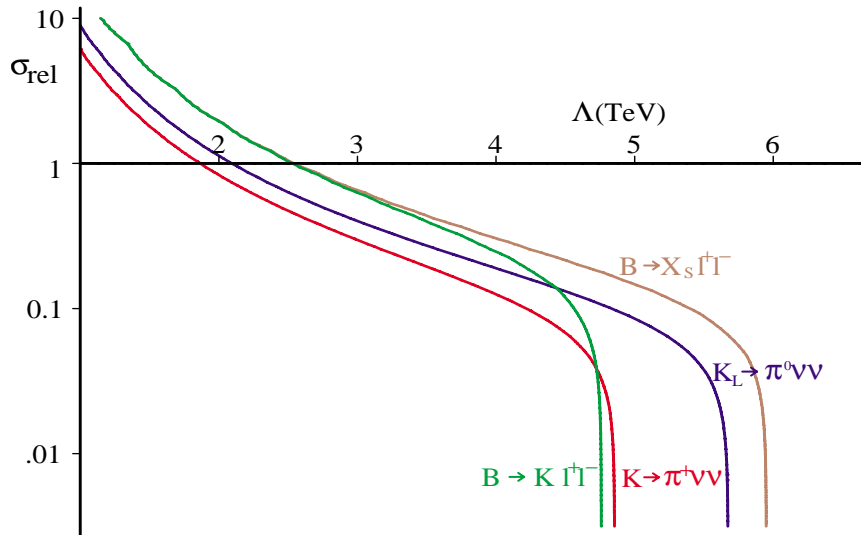
G.D., Giudice, Isidori, Strumia

| MFV dim-6 operator | main observables | Λ [TeV] | |
|-----------------------|---|-----------------|------|
| | | - | + |
| \mathcal{O}_0 | $\epsilon_K, \Delta m_{B_d}$ | 6.4 | 5.0 |
| \mathcal{O}_{F1} | $B \rightarrow X_s \gamma$ | 8.3 | 13.4 |
| \mathcal{O}_{l1} | $B \rightarrow (X) l \bar{l}, K \rightarrow \pi \nu \bar{\nu}, (\pi) l \bar{l}$ | 3.1 | 2.7 |
| \mathcal{O}_{l2} | $B \rightarrow (X) l \bar{l}, K \rightarrow \pi \nu \bar{\nu}, (\pi) l \bar{l}$ | 3.4 | 3.0 |
| \mathcal{O}_{H1} | $B \rightarrow (X) l \bar{l}, K \rightarrow \pi \nu \bar{\nu}, (\pi) l \bar{l}$ | 1.6 | 1.6 |

Bounds on MFV operators

Minimal Flavour violation and $K \rightarrow \pi \nu \bar{\nu}$

- Future experiments, in particular $K_L \rightarrow \pi^0 \nu \bar{\nu}$ will probe deeply **MFV**



- Up: 10% accuracy on the CKM , Down: 1%

$$K_L(p) \rightarrow \pi^0(p_3)\gamma(q_1)\gamma(q_2)$$

- Lorentz + gauge invariance \Rightarrow

$$M \sim \begin{matrix} A(y, z) & B(y, z) \end{matrix} \quad \left\{ \begin{array}{l} y = \frac{p \cdot (q_1 - q_2)}{m_K^2} \\ z = \frac{(q_1 + q_2)^2}{m_K^2} \end{array} \right.$$

$$\begin{matrix} \gamma\gamma & \gamma\gamma \\ J = 0 & \text{D - wave too} \\ F^{\mu\nu} F_{\mu\nu} & F^{\mu\nu} F_{\mu\lambda} \partial_\nu K_L \partial^\lambda \pi^0 \end{matrix}$$

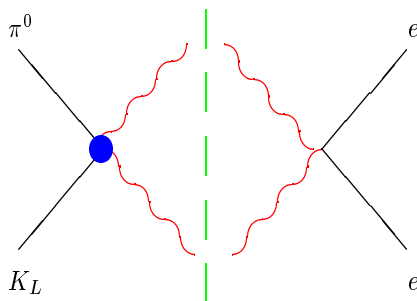
- $\frac{d^2\Gamma}{dydz} \sim z^2 |A + B|^2 + \left(y^2 - \left(\frac{(1 + r_\pi^2 - z)^2}{4} - r_\pi^2 \right) \right)^2 |B|^2$
 $r_\pi = m_\pi/m_K$

- Different gauge structure $\Rightarrow B \neq 0$ at $z \rightarrow 0$ (collinear photons).

Crucial role in $K_L \rightarrow \pi^0 e^+ e^-$

Morozumi et al, Flynn Randall

Sehgal Heiliger, Ecker et al., Donoghue et al.



A suppressed by m_e/m_K

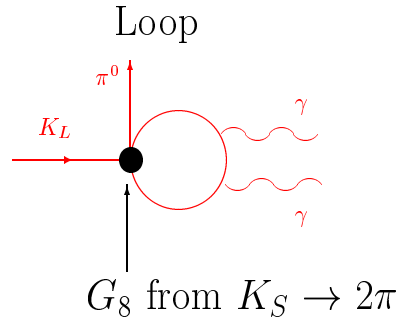
B is not

$$K_L \rightarrow \pi^0 \gamma \gamma$$

- $O(p^4)$

CT

0



only A-amplitude

But $\Gamma(K_L \rightarrow \pi^0 \gamma \gamma)_{p_4} \sim \frac{1}{2.5} \Gamma(K_L \rightarrow \pi^0 \gamma \gamma)_{\text{exp}}$

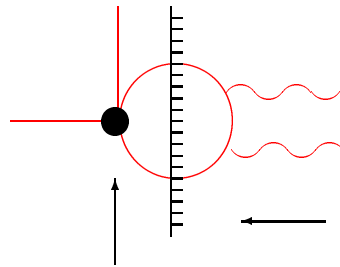
- $O(p^6)$ A, B amplitudes
from:

3 CT's

$$F_{\mu\nu} F^{\mu\alpha} \partial_\alpha K_L \partial^\nu \pi^0$$

$$F^2 \partial K_L \partial \pi^0$$

$$F^2 m_K^2 K_L \pi^0$$



Cappiello, G.D., Miragliuolo
Cohen, Ecker, Pich

$$A(K \rightarrow 3\pi) = a + b Y + c Y^2 + d X^2$$

$$A_{\text{CT}} = \alpha_1 (z - r_\pi^2) + \alpha_2$$

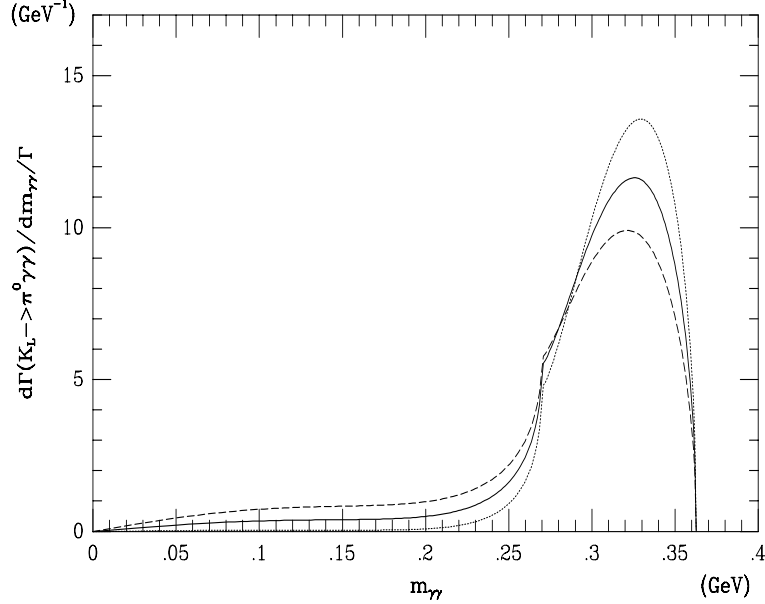
$$B_{\text{CT}} = \beta$$

VMD \Rightarrow 1 coupling $a_V (\sim -0.6)$ G.D., Portoles)

(Ecker, Pich, de Rafael)

(Sehgal et al.)

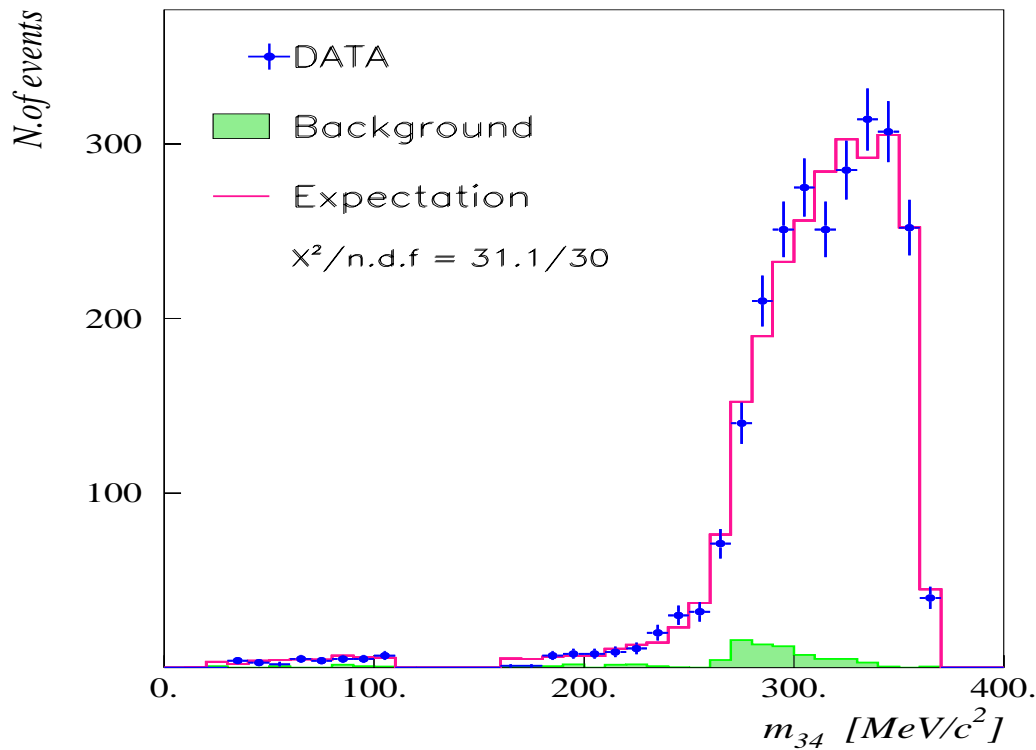
$$\alpha_1 = \frac{\beta}{2} = -\frac{\alpha_2}{3} = -4a_V \sim 2 \quad \text{n.d.a.} \sim 0.2$$



1 parameter fit:

| | a_V | |
|-------|-------|--------|
| ... | -0.4 | (NA48) |
| — | -0.7 | (KTeV) |
| - - - | -1 | |

study of low $m_{\gamma\gamma}$ -spectrum $\Rightarrow \text{B}(K_L \rightarrow \pi^0 e^+ e^-)_{disc} \sim 0.5 \cdot 10^{-12}$



NA48, 02

$$a_V = -0.46 \pm 0.03 \pm 0.04 \quad \text{Br} = 1.36 \pm 0.03 \pm 0.03$$

Left-over questions

| | | | | |
|----------------------|------------------|------------|------------|---------|
| • Gabbiani, Valencia | 3 parameters fit | α_1 | α_2 | β |
| | (VMD | 0 | 1.7 | -5 |
| | | $-4a_V$ | $12a_V$ | $-8a_V$ |

Taking **B** from rate and spectrum fit

large **B** $B(K_L \rightarrow \pi^0 e^+ e^-)_{disc} \sim 1.5 \cdot 10^{-12}$

$B(K_L \rightarrow \pi^0 e^+ e^-)_{disc}$ expt. question

Dispersive: $\gamma\gamma$ off-shell?

Donoghue-Gabbiani: particular form factor, a factor 4~5 larger

Important to measure $K_L \rightarrow \pi^0 \gamma \gamma^*$ (KTeV + NA48)

$$K_S \rightarrow \gamma\gamma$$

- No short distance contributions

Gaillard-Lee

No $O(p^2)$

- Neutral particles (K_S) \Rightarrow

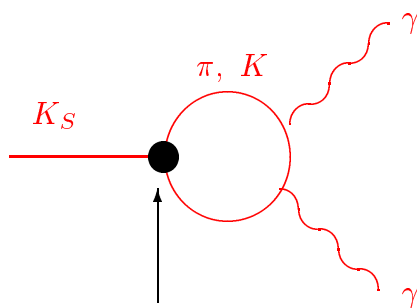
No $O(p^4)$ CT : $F_{\mu\nu}F^{\mu\nu} \langle \lambda_6 Q U^+ Q U \rangle$



- Loop contribution finite

$$\text{Br}_{\chi\text{PT}}(K_S \rightarrow \gamma\gamma) = 2.1 \cdot 10^{-6}$$

(G.D. and Espriu 86, Goity 87)



$$(2.78 \pm 0.072) \cdot 10^{-6}$$

(NA48 '02)

G_8 from $K_S \rightarrow \pi\pi$

scale independent and unambiguous χPT prediction

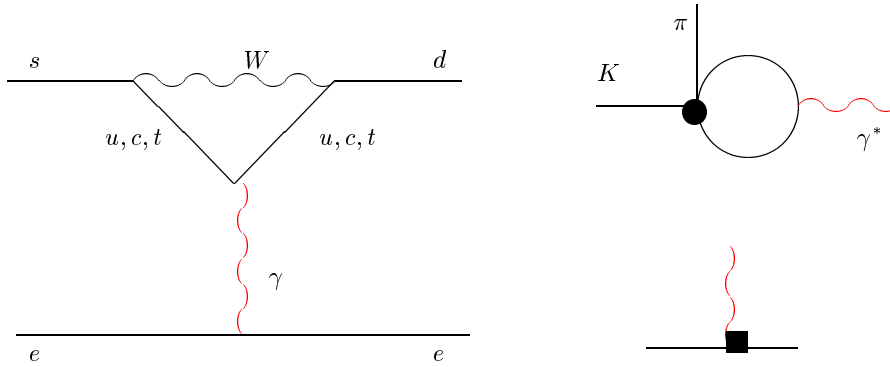
- $O(p^6)_{\text{CT}}$ No VMD $\Rightarrow \frac{A^{(6)}}{A^{(4)}} \sim \frac{m_K^2}{(4\pi F_\pi)^2} \sim 0.2$

$$F^{\mu\nu} F_{\mu\nu} \langle \lambda_6 Q^2 \mu M U^+ \rangle$$

(Not $\frac{m_K^2}{m_\rho^2} \sim 0.4$)

$$K^\pm(K_S) \rightarrow \pi^\pm(\pi^0)\ell^+\ell^-$$

- short distance \ll long distance



- 1 " γ " amplitude determined by form factor W

$$W^i = G_F m_K^2 (a_i + b_i z) + W_{\pi\pi}^i(z) \quad i = \pm, S$$

$$a_i, b_i \sim O(1), \quad z = \frac{q^2}{m_K^2}$$

- Observables $\Gamma(K^+ \rightarrow \pi^+ e^+ e^-)$, $\Gamma(K^+ \rightarrow \pi^+ \mu \bar{\mu})$, slopes

- $a_i \quad O(p^4)$ Ecker, Pich, de Rafael

- $b_i \quad O(p^6)$ G.D., Ecker, Isidori, Portoles

- a_+, b_+ **in general not** related to a_S, b_S

- $K^+ \rightarrow \pi^+ e^+ e^-$: $a_+ = -0.586 \pm 0.010$ just linear slope
 $b_+ = -0.655 \pm 0.044$ ($W_{\pi\pi} = 0$)
 $\frac{\chi^2}{n_{dof}} \sim \frac{13.3}{18}$ $\frac{\chi^2}{n_{dof}} \sim \frac{22.9}{18}$
- $K^+ \rightarrow \pi^+ \mu^+ \bar{\mu}$:

$$\text{Br} = (9.22 \pm 0.77) \cdot 10^{-8} \quad \text{consistent with theory}$$

$$\text{not } (5. \pm 1.) \cdot 10^{-8} \quad (\text{E787, '97})$$

Problems: a_i b_i same phenomenological size
 p^4 p^6 different theoretical order

Probably explained by large VMD

Then we can just parameterize

$$\text{Br}(K_S \rightarrow \pi^0 e^+ e^-) = 4.6 \times 10^{-9} a_S^2$$

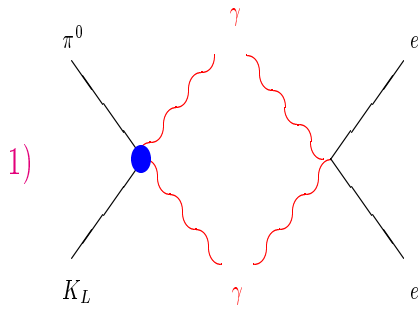
not predicted but dynamically interesting

HyperCP has confirmed E865 (02) regarding $K^+ \rightarrow \pi^+ \mu^+ \bar{\mu}$ and put a bound on the CP asymmetry (≤ 0.1)

$K_L \rightarrow \pi^0 e^+ e^-$: summary

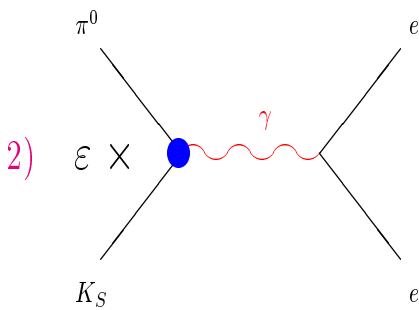
$\text{Br}(K_L \rightarrow \pi^0 e^+ e^-) \leq 5 \cdot 10^{-10}$ **KTeV**

- $V-A \otimes V-A \Rightarrow \langle \pi^0 e^+ e^- | (\bar{s}d)_{V-A} (\bar{e}e)_{V-A} | K_L \rangle$ violates CP

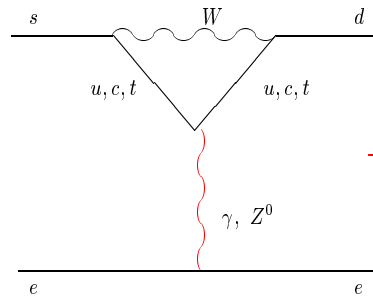


CP conserving

$\text{Br}(K_L \rightarrow \pi^0 e^+ e^-) \sim 10^{-12}$



+ 3)



$\rightarrow \text{Im } \lambda_t$
 $\lambda_t = V_{td} V_{ts}^*$

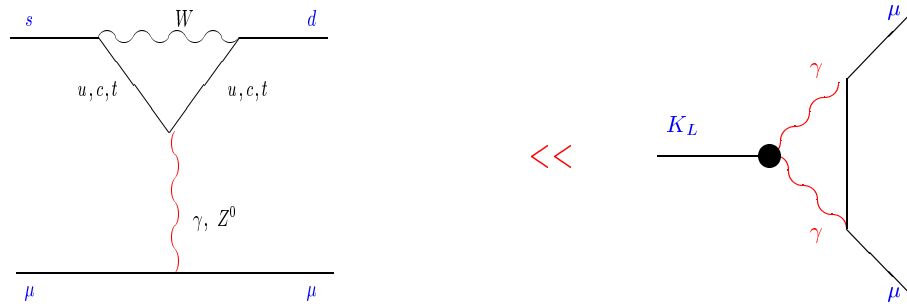
$\uparrow \text{B}(K_S \rightarrow \pi^0 e^+ e^-) = 4.6 a_S^2 \times 10^{-9}$

Possible large interference: $a_S < -0.5$ or $a_S > 1$

$\text{Br}(K_L \rightarrow \pi^0 e^+ e^-)_{\text{CPV}} = \left[15.3 a_S^2 - 6.8 \frac{\text{Im } \lambda_t}{10^{-4}} a_S + 2.8 \left(\frac{\text{Im } \lambda_t}{10^{-4}} \right)^2 \right] \cdot 10^{-12}$

short distance probe even for a_S large

$K_L \rightarrow \mu \bar{\mu}$



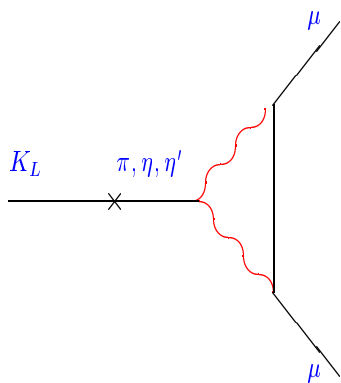
$$\text{Br}(K_L \rightarrow \mu \bar{\mu}) = |\text{Re}A|^2 + |\text{Im}A|^2 = (7.18 \pm 0.17) \cdot 10^{-9}$$

↙ $K_L \rightarrow \gamma\gamma$ ↓ AGS 871

$$\text{Re}(A_{\text{SD}} + A_{\text{LD}}) \quad |\text{Im}A|^2 = (7.1 \pm 0.2) 10^{-9}$$

Good determination of $A_{\text{LD}} \Rightarrow A_{\text{SD}} \Rightarrow \text{SM test}$

Large $N_c + \text{U}(3)_L \otimes \text{U}(3)_R$ Gomez Dumm-Pich



Local+non-local contribution

$$|A_{\text{SD}}|^2 < 2.9 \cdot 10^{-9}$$

$$\text{SM } (0.9 \pm 0.4) \cdot 10^{-9} \quad \text{Buchalla-Buras}$$

$$\text{B}(K_L \rightarrow e \bar{e}) = (9.0 \pm 0.4) \cdot 10^{-12}$$

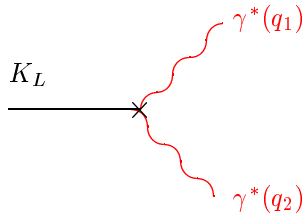
$$\text{BNL871 } (8.7^{+5.7}_{-4.1}) \cdot 10^{-12}$$

1/N corrections & symmetry breaking? Large in $K_L \rightarrow$

$\gamma\gamma$

Low energy form factor + matching with VMD to QCD

(G.D, Isidori, Portoles)

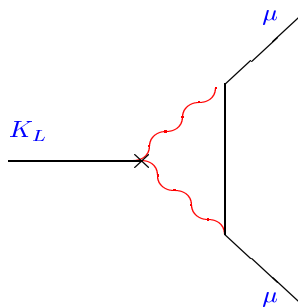


$$A(K_L \rightarrow \gamma^* \gamma^*) = A_{\gamma\gamma}^{\text{exp}} \left[1 + \alpha \left(\frac{q_1^2}{q_1^2 - M_V^2} + \frac{q_2^2}{q_2^2 - M_V^2} \right) + \beta \frac{q_1^2 q_2^2}{(q_1^2 - M_V^2)(q_2^2 - M_V^2)} \right]$$

α fixed from $K_L \rightarrow \gamma\gamma^*$

β from $K_L \rightarrow e^+e^- \mu\bar{\mu}$ (KTeV, NA48, not yet)

Matching with QCD $q_1^2, q_2^2 \rightarrow \infty$ imposes



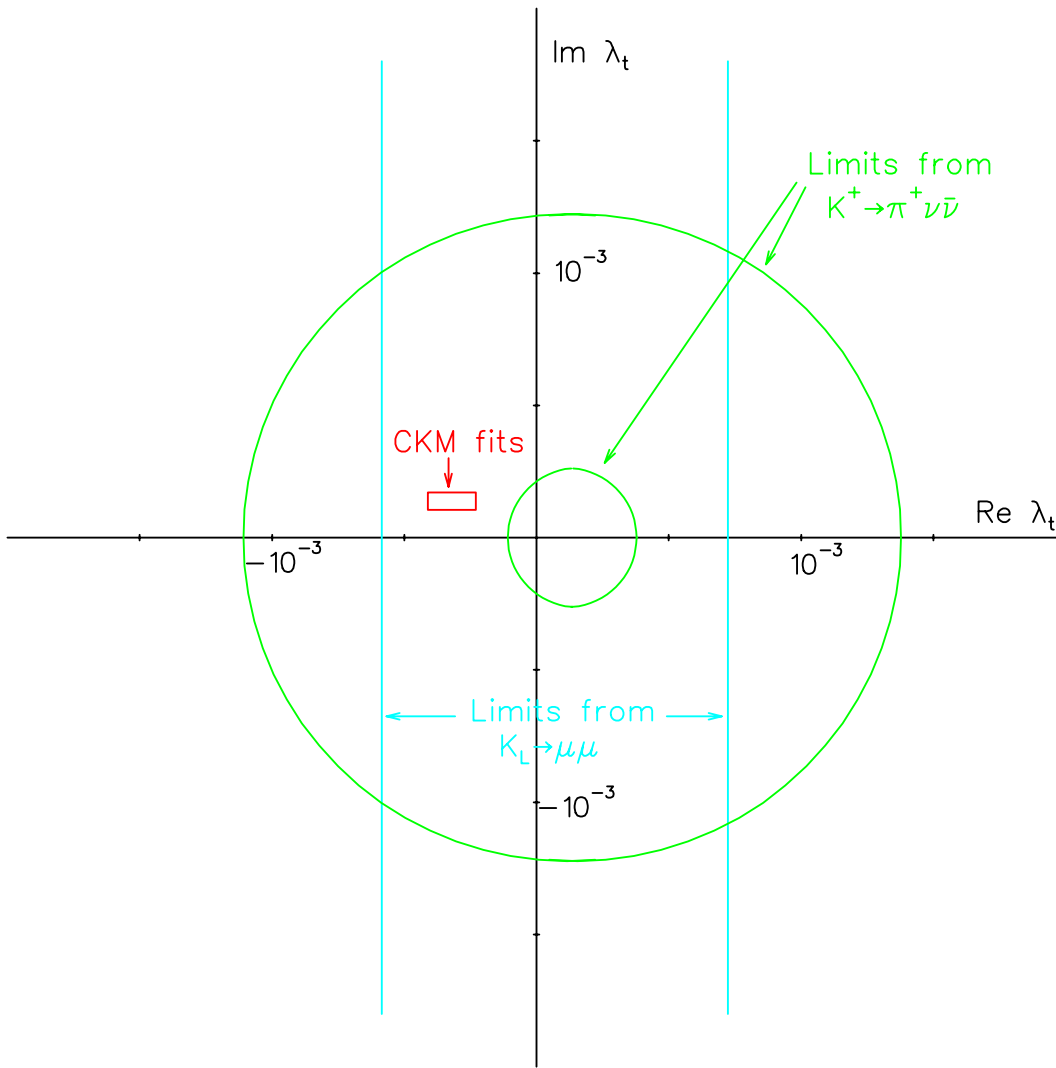
$$|1 + 2\alpha + \beta| \simeq 0.3$$

$$\Rightarrow |A_{SD}|^2 < 2.8 \cdot 10^{-9}$$

$B(K_L \rightarrow e\bar{e})$ insensitive to α, β

Valencia alternative picture

From Littenberg hep-ex/0010048



Conclusions

- $K_L \rightarrow \pi^0 \nu \bar{\nu}$ a measurement with 10% accuracy \Rightarrow would push to 10 TeV the validity of SM
- $K_L \rightarrow \pi^0 e \bar{e}$: if NA48 will tell us that $K_S \rightarrow \pi^0 e \bar{e}$ (a_S) is in an interesting interference range is worth pursuing this channel
- NA48 and KLOE:
 - $K_S \rightarrow \pi^0 e \bar{e}$ very interesting (a_S)
 - CP violation in $K^+ \rightarrow 3\pi / K^+ \rightarrow \pi^+ \pi^0 \gamma$ challenge
 - $K^+ \rightarrow \pi^+ \gamma \gamma / K^+ \rightarrow \pi^+ \pi^0 \gamma$: chiral tests
- $K_S \rightarrow \gamma \gamma$ best chiral test, h.o. established: $\leq 15\%$ amplitude
- $K_L \rightarrow \mu \bar{\mu}$: full form factor close to be measured